

# NON SURGICAL TREATMENT OF CARDIAC DISEASE

---

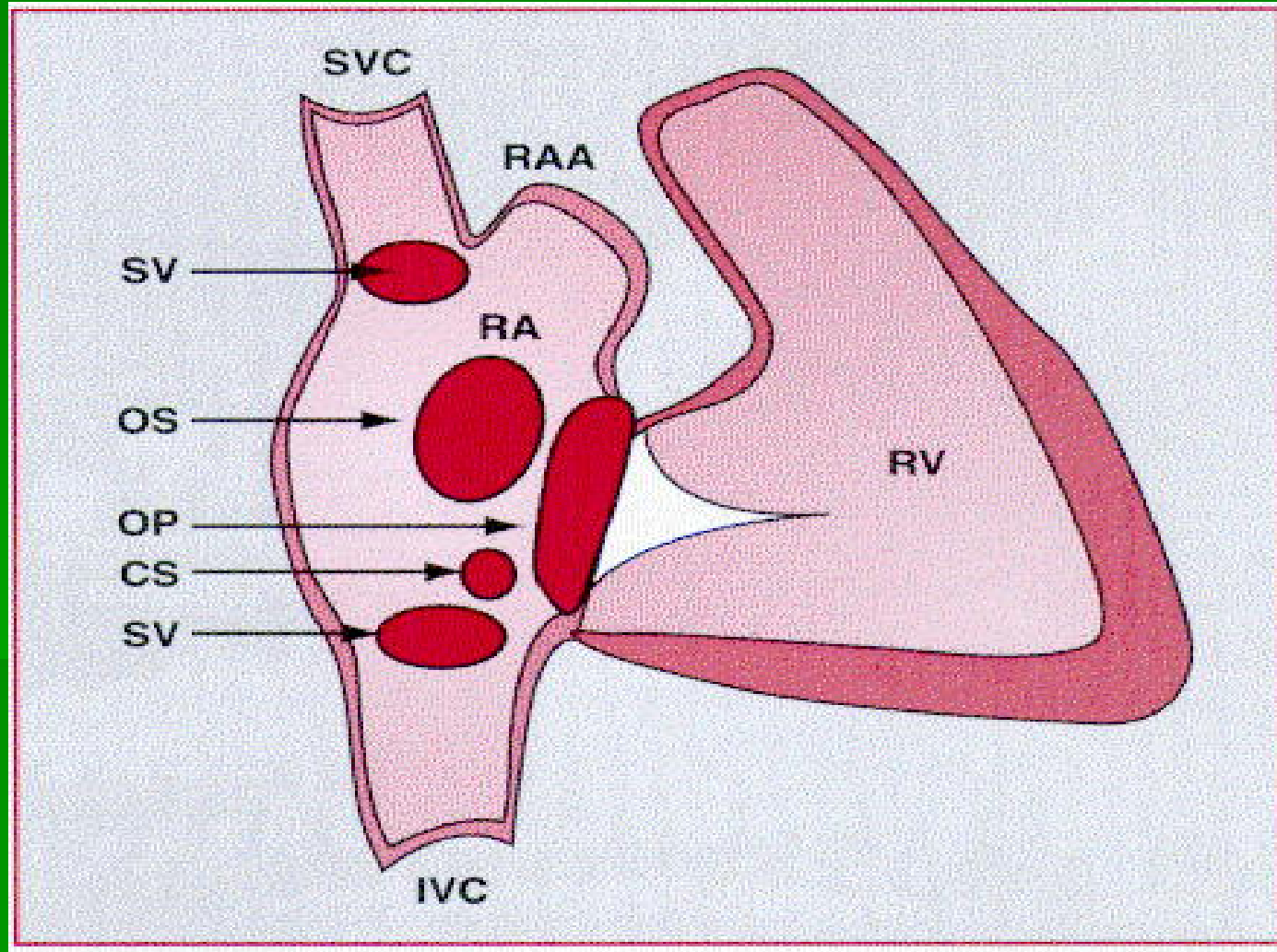
PETER J SABIA, MD FACC  
ASSOCIATES IN CARDIOLOGY  
SILVER SPRING, MARYLAND

# TOPICS

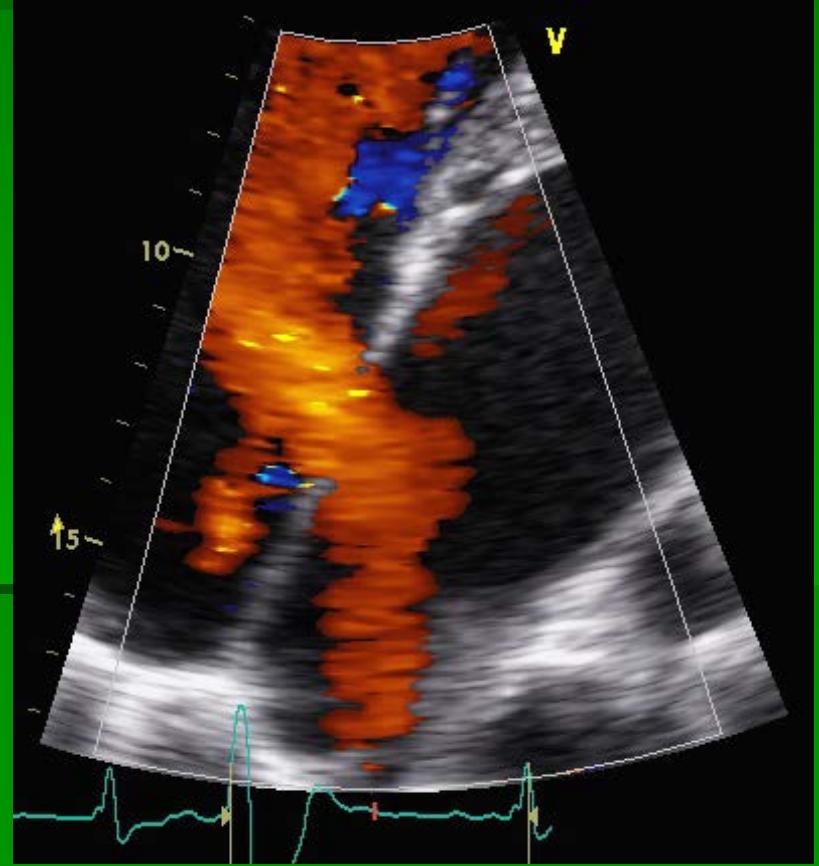
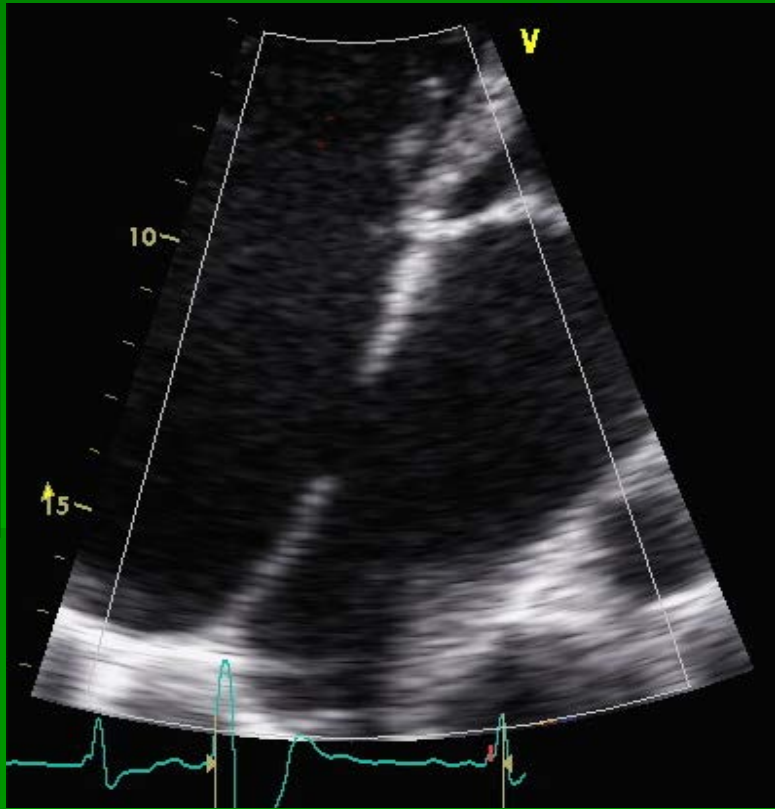
- ATRIAL SEPTAL DEFECT
- VSD
- IHSS
- PERCUTANEOUS AORTIC VALVE
- PERCUTANEOUS MITRAL VALVE
- LAA CLOSURE
- ABDOMINAL AORTIC ANEURYSM REPAIR

# ATRIAL SEPTAL DEFECT

# TYPES OF ASD



# ATRIAL SEPTAL DEFECT



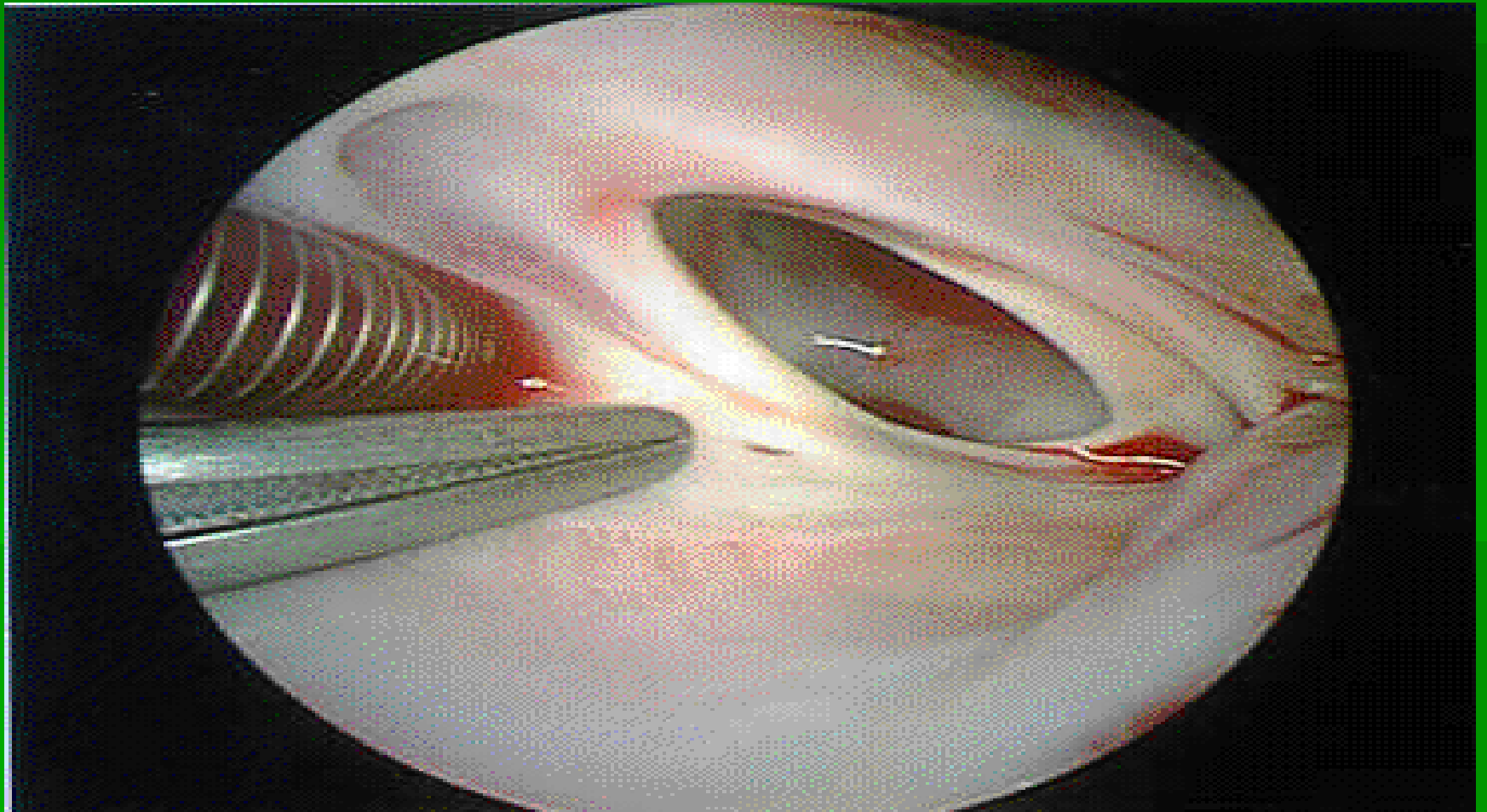


# INDICATIONS FOR CLOSURE

- **Class 1- Closure of an ASD either percutaneously or surgically is indicated for right atrial and RV enlargement with or without symptoms. (*LoE: B*)**
  
- **Class 2a-Closure of an ASD, either percutaneously or surgically, is reasonable in the presence of:**
  - a. **Paradoxical embolism. (*Level of Evidence: C*)**
  - b. **Documented orthodeoxia-platypnea. (*LoE: B*)**

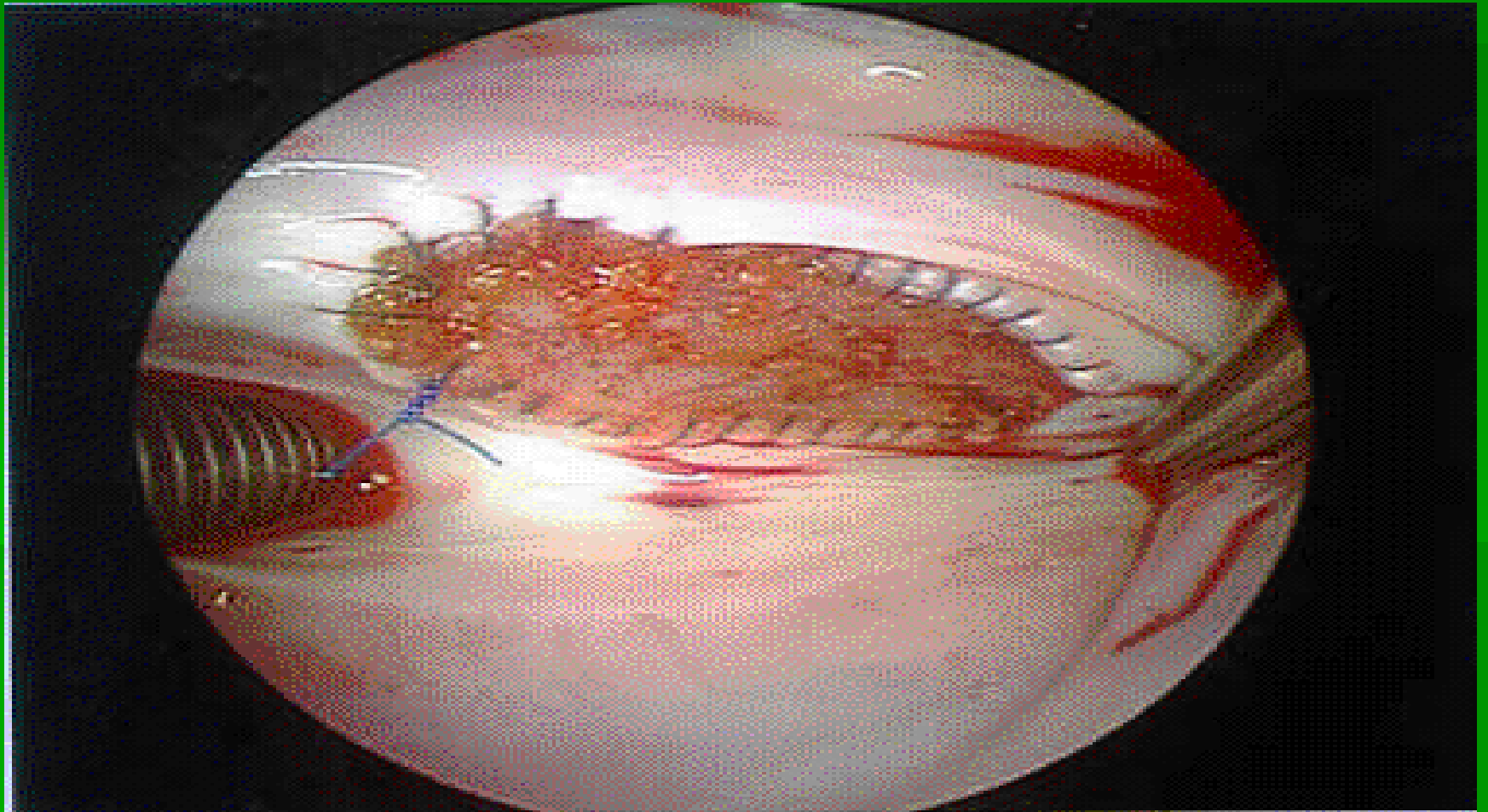
**Class 3-Patients with severe irreversible PAH and no evidence of a left-to-right shunt should not undergo ASD closure.**

# SURGICAL VIEW OF ASD

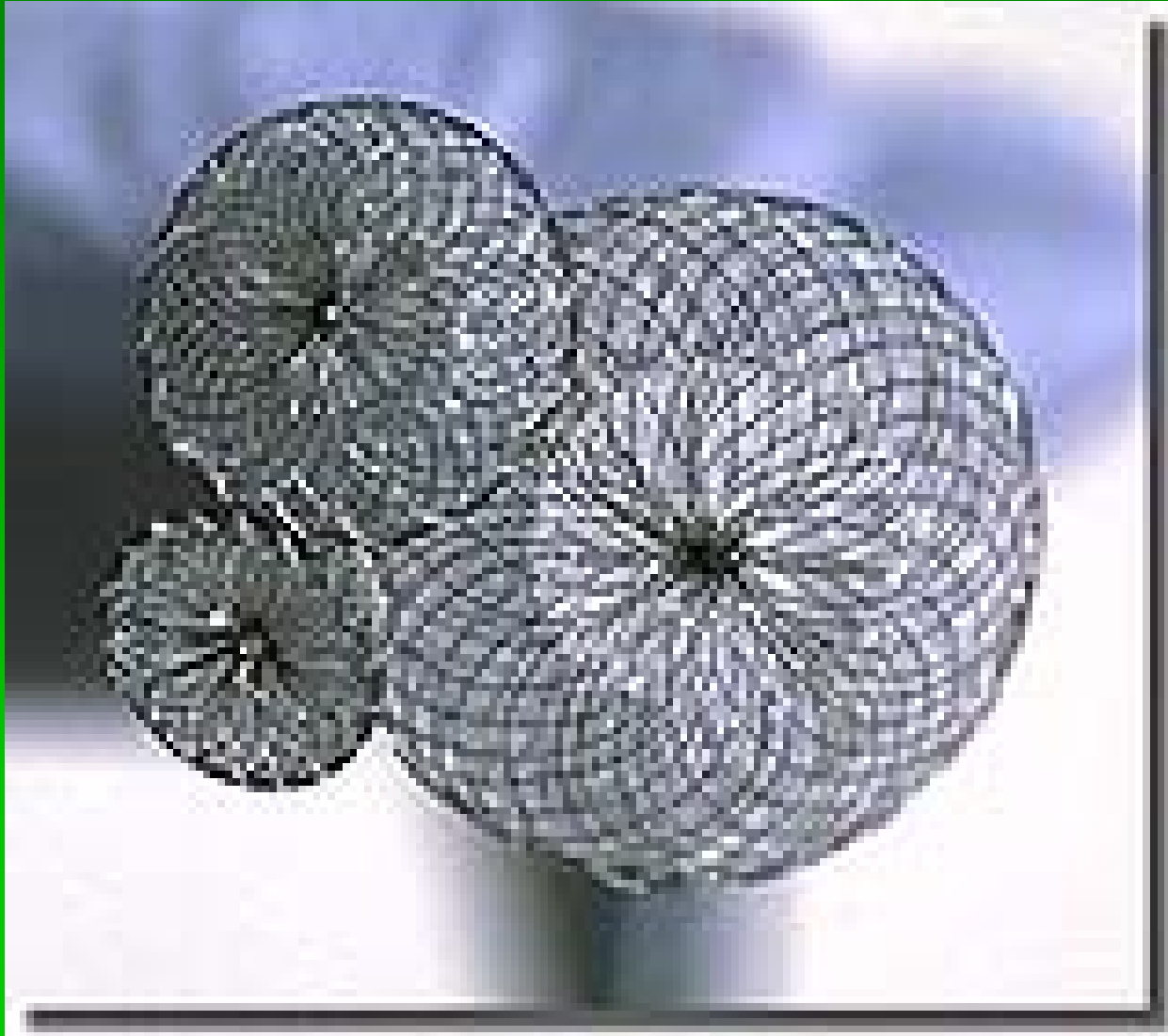




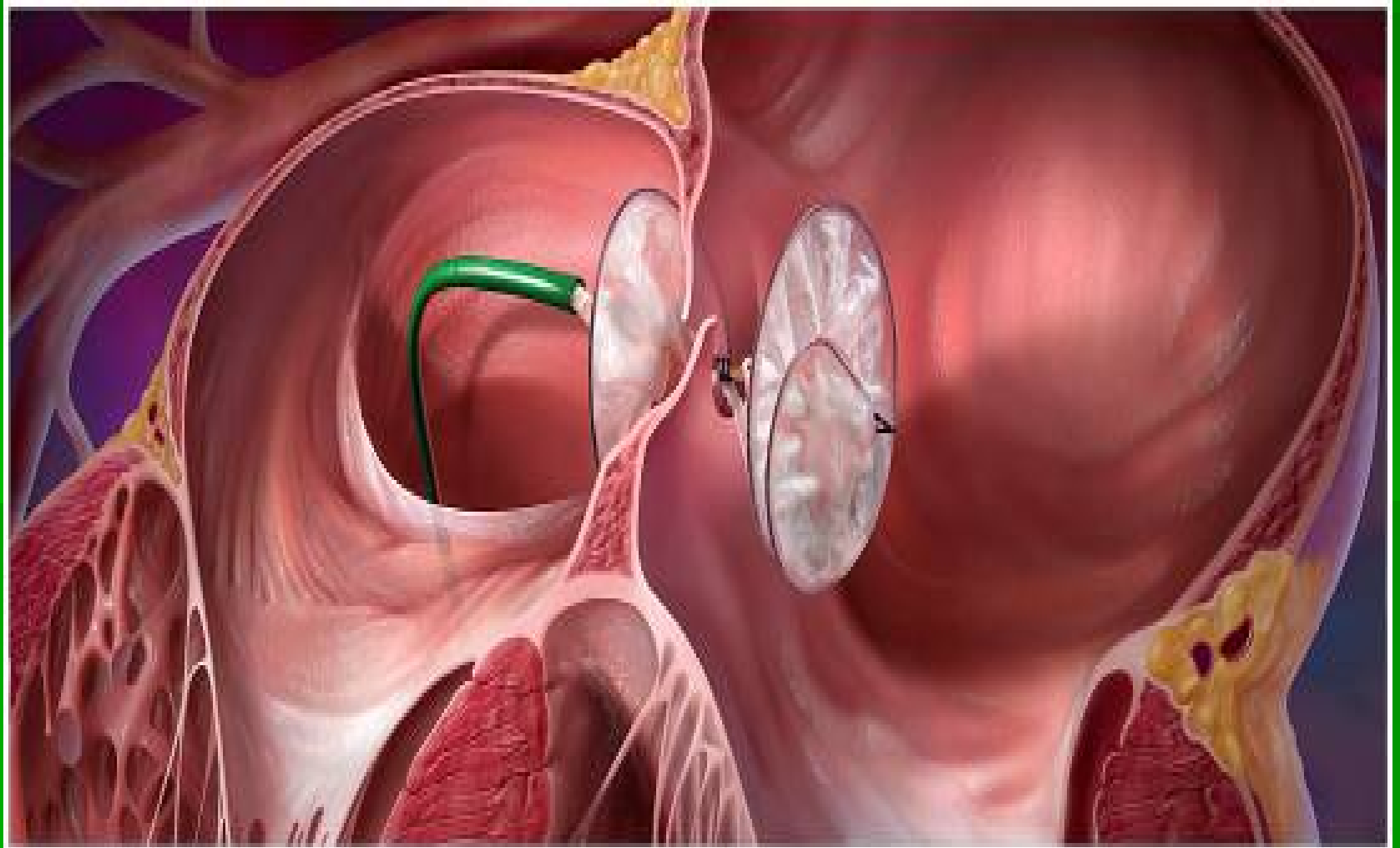
# SURGICAL CLOSURE ASD



# ASD CLOSURE DEVICES

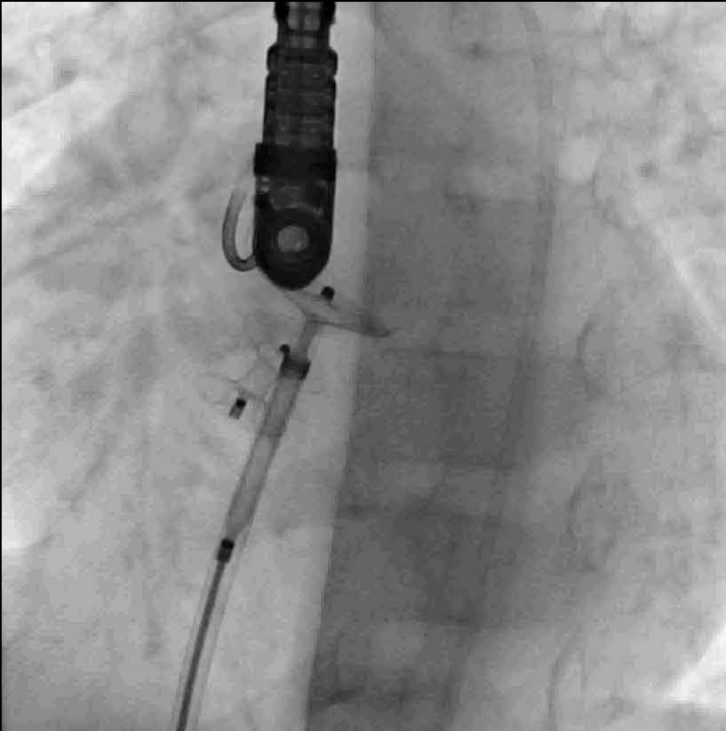


# ASD CLOSURE

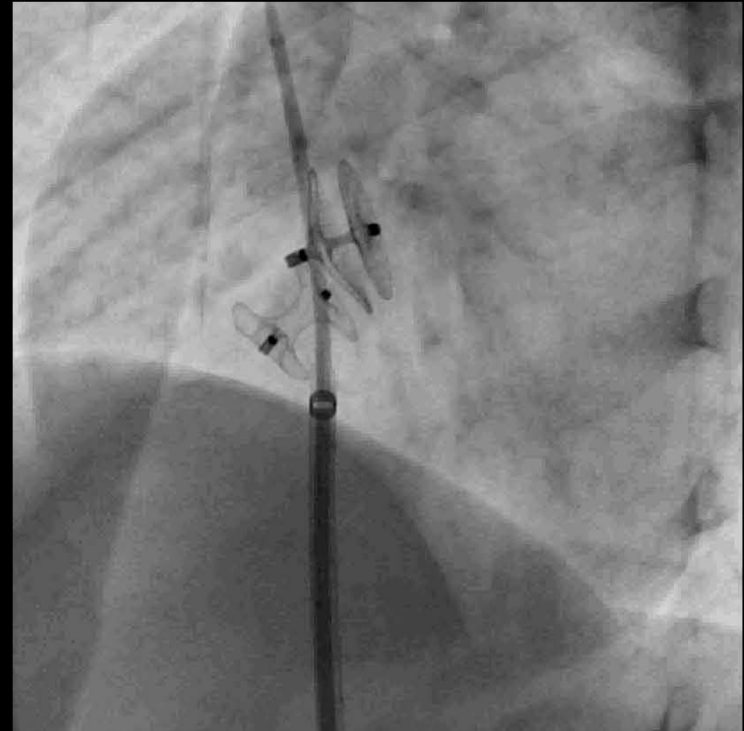


# 18mm Amplatzer Cribriform ASO

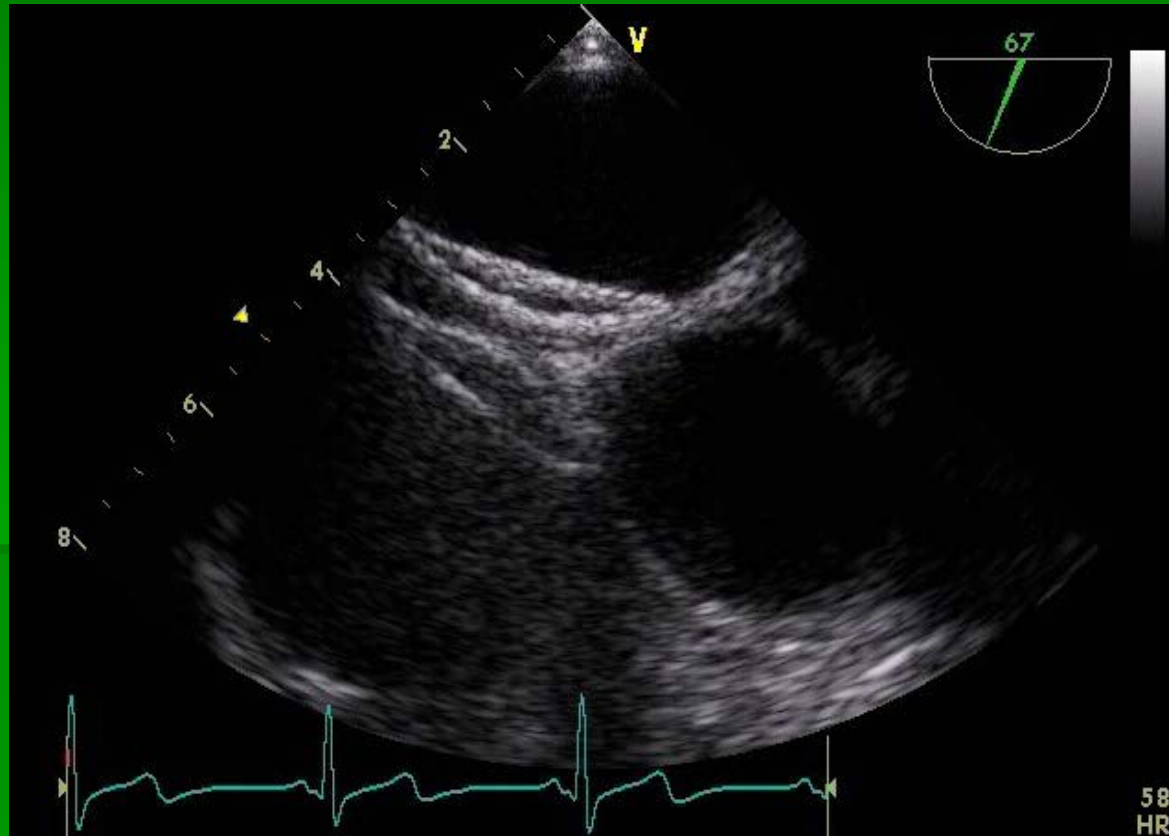
Lossy Compression - not intended for diagnosis



Lossy Compression - not intended for diagnosis



# ECHO OF ASD CLOSURE



# ASD CLOSURE

- Out of 174 “intention to treat procedures”
  - 151 patients received a single device
  - 9 patients received two devices
  - 1 patient received three devices
  - 13 patients received no device
    - Defect > 40 mm : 5
    - Insufficient rim : 5
    - Three defects : 1
    - Multiple fenestrations : 1
    - Iliac vein access : 1

# ASD ADVERSE EVENTS.

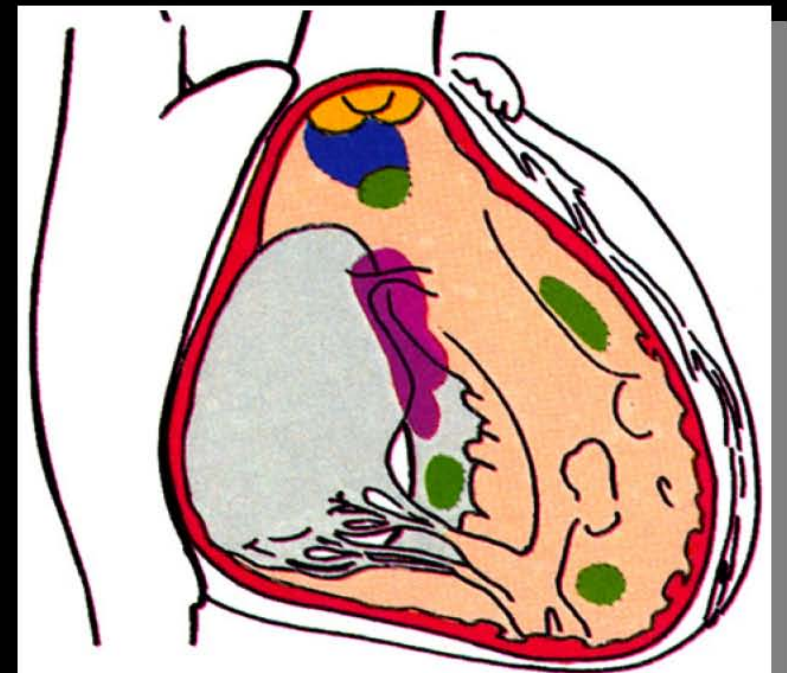
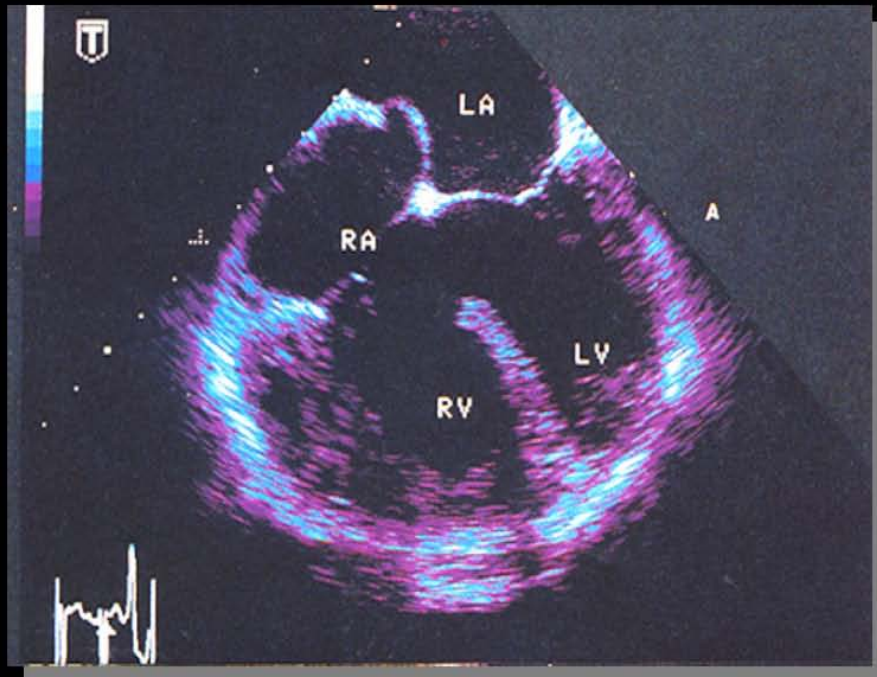
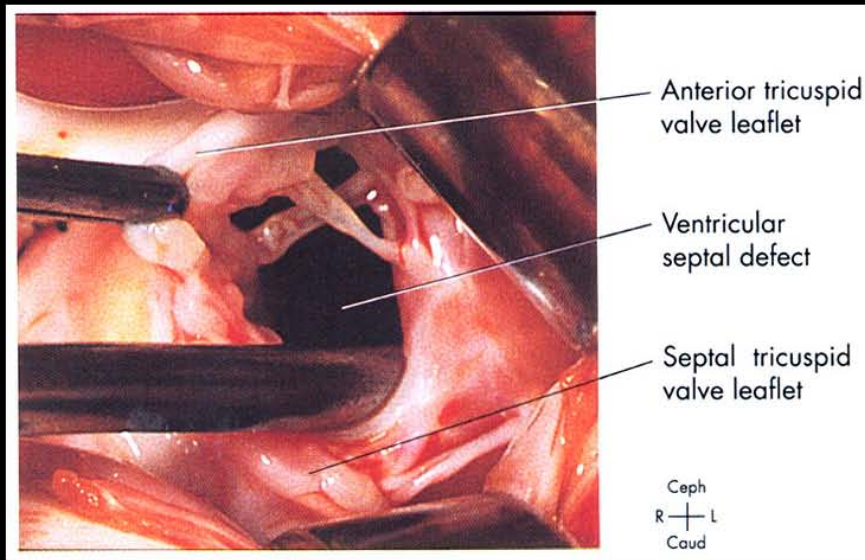
**TABLE 1: ADVERSE EVENTS - PIVOTAL STUDY**

	<b>AMPLATZER</b>	<b>Surgical Control</b>	
<b>Major Adverse Events</b>	<b>Patients</b>	<b>Patients</b>	<b>p-value</b>
Cardiac Arrhythmia requiring major treatment	2/442 (0.5%)	0/154 (0.0%)	1.00
Device Embolization with surgical removal	3/442 (0.7%)	0/154 (0.0%)	0.57
Device Embolization with percutaneous removal	1/442 (0.2%)	0/154 (0.0%)	1.00
Delivery System Failure	1/442 (0.2%)	0/154 (0.0%)	1.00
Pericardial Effusion with tamponade	0/442 (0.0%)	3/154 (1.9%)	0.017
Pulmonary Edema	0/442 (0.0%)	1/154 (0.6%)	0.26
Repeat Surgery	0/442 (0.0%)	2/154 (1.3%)	0.066
Surgical Wound Adverse Events	0/442 (0.0%)	2/154 (1.3%)	0.066
<b>Total Major Adverse Events Patients</b>	<b>7/442 (1.6%)</b>	<b>8/154 (5.2%)</b>	<b>0.030</b>

# VENTRICULAR SEPTAL DEFECT CLOSURE



# Large perimembranous inlet VSD – no role for a device



- Perimembranous
- Muscular
- Doubly committed and juxtaarterial

# Criteria for Device Closure of VSD

---

- Hemodynamically Significant
- $Q_p:Q_s > 1.5$
- LA or LV Enlargement
- Cardiomegaly on CXR
- Failure to Thrive

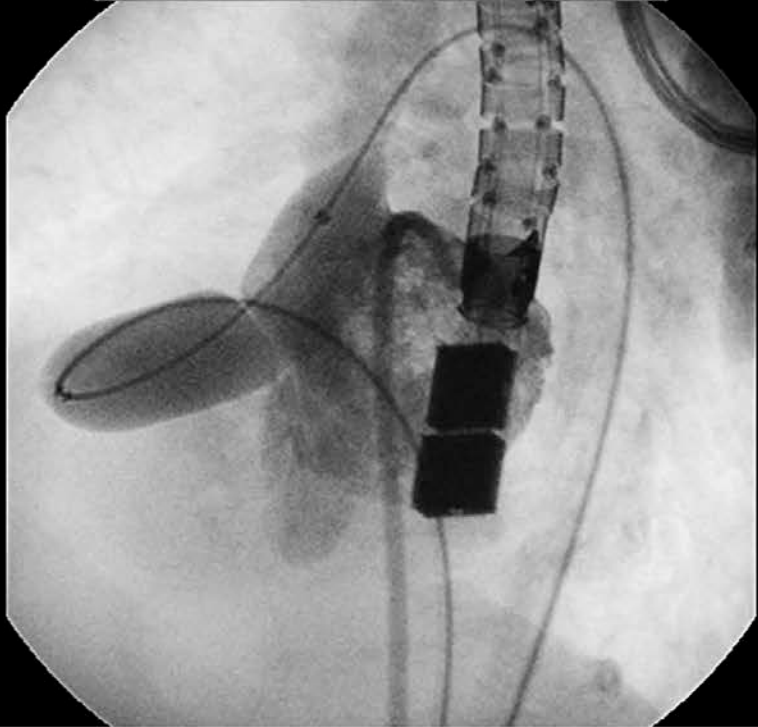
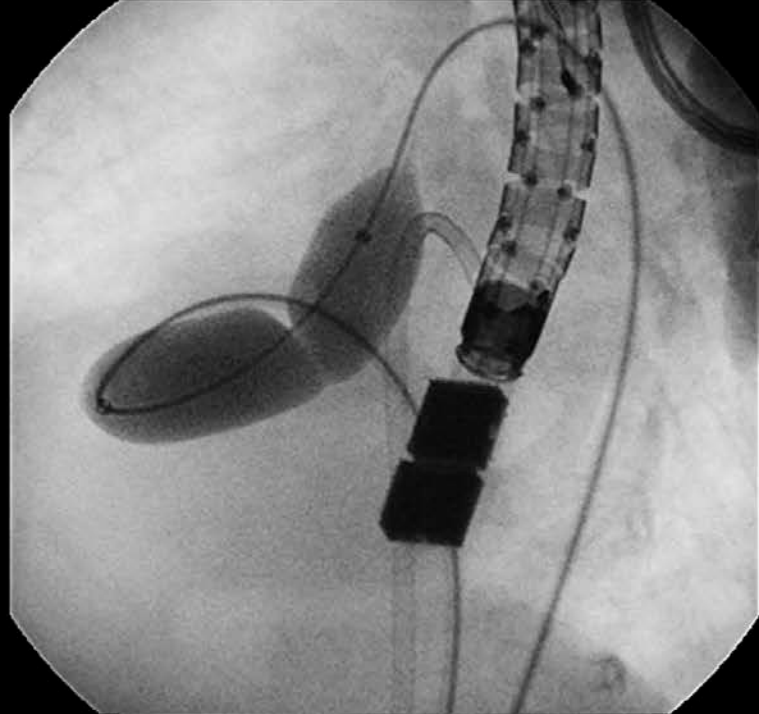
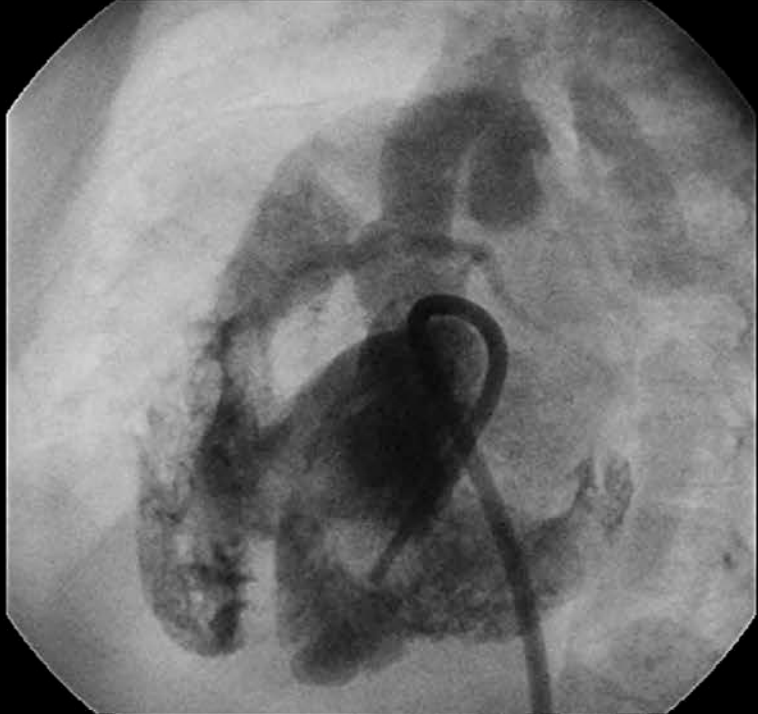
# Different Amplatzer VSD Devices



- **Muscular VSDs** being closed routinely by catheter techniques
- 4-18 mm sizes, waist 7 mm, discs = waist+8 mm
- Waist should be 1 – 3mm larger than VSD

Recent trend towards catheter closure of **Perimembranous VSDs**





# Muscular VSD

## N=80

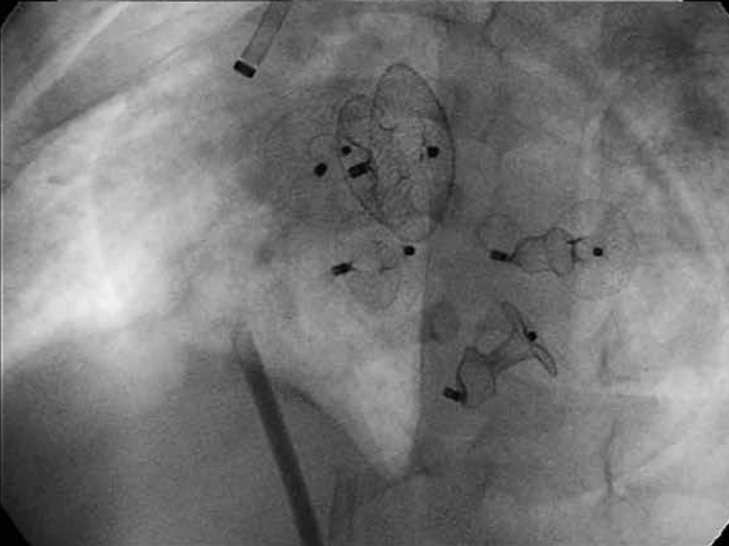
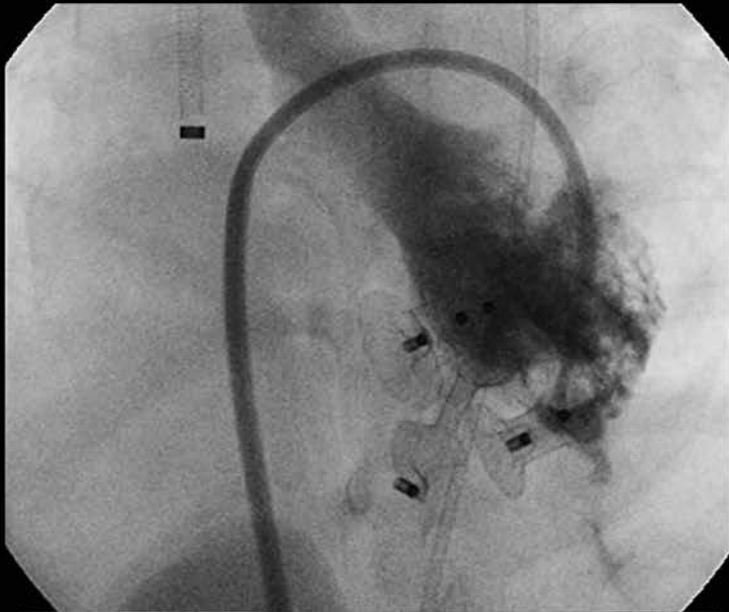
### Technical Failure

Unable to cross the defect	1
Defect too big	2
Patient developed hypotension & bradycardia	1
Device embolized to LV-surgical removal	1
Catheter dislodgment, blood loss, death	1
Cardiac perforation, death	1

**\*\*\*Most SAE related to size of patient  
< 5.2Kg**



# Getting Carried Away ???



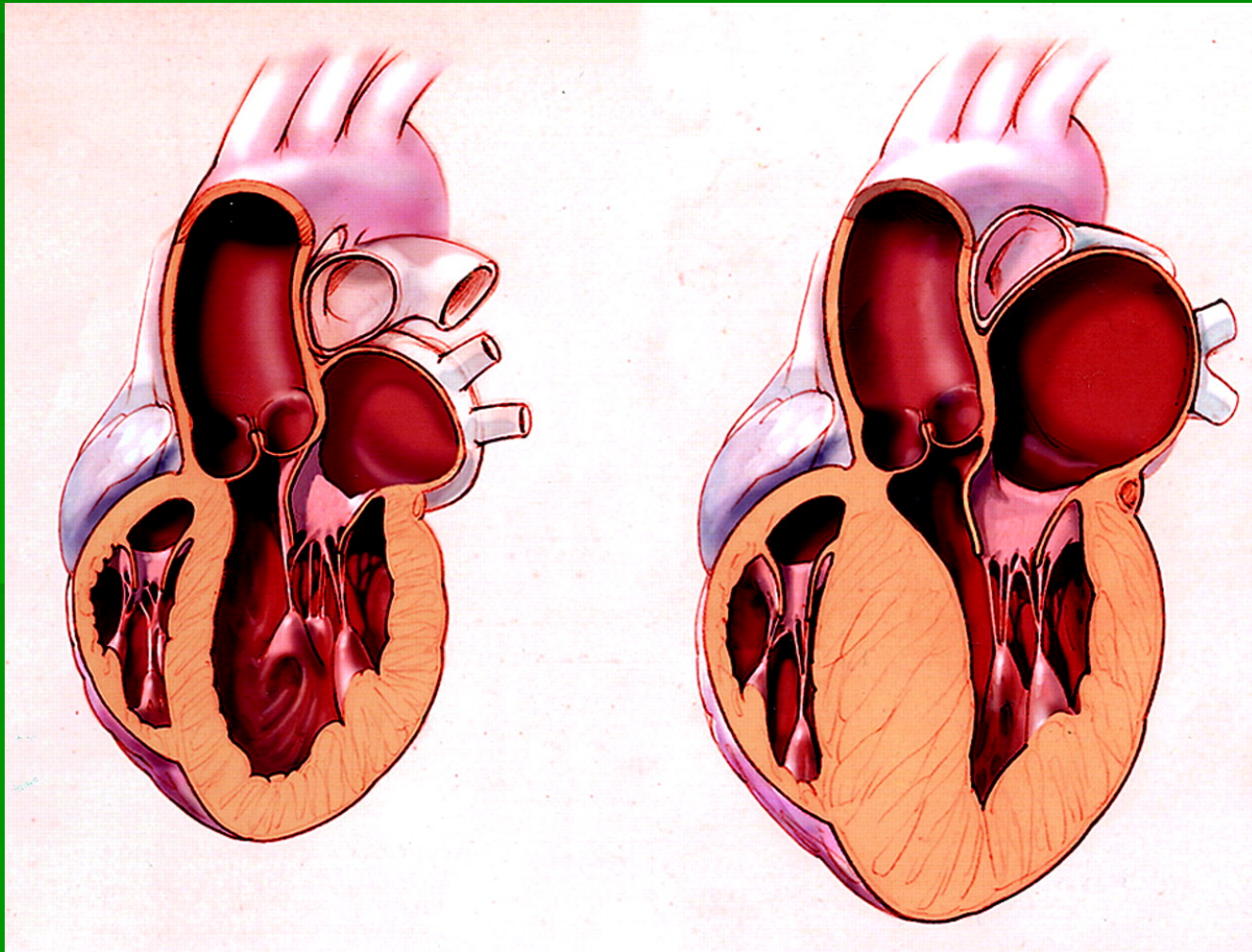
**Two infants with Swiss Cheese VSDs**

**Top Panels: 11 mo/old with 8mm, 6mm, & 8mm AMVSDO**

**Left Panel: 2 y/o with 6mm & 8mm AMVSDO. Also has 3 ASOs: 11,9,& 6mm**

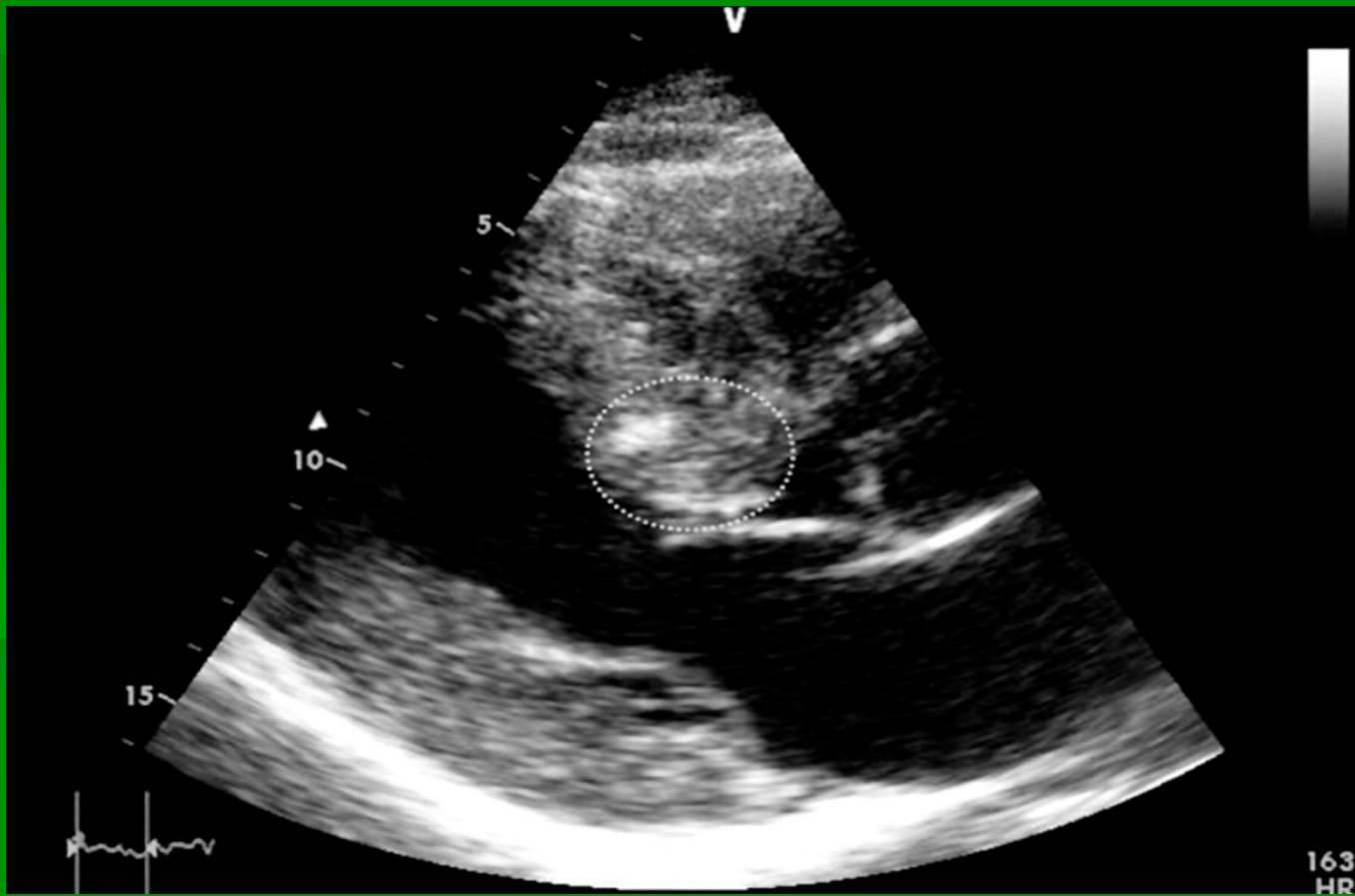
IHSS

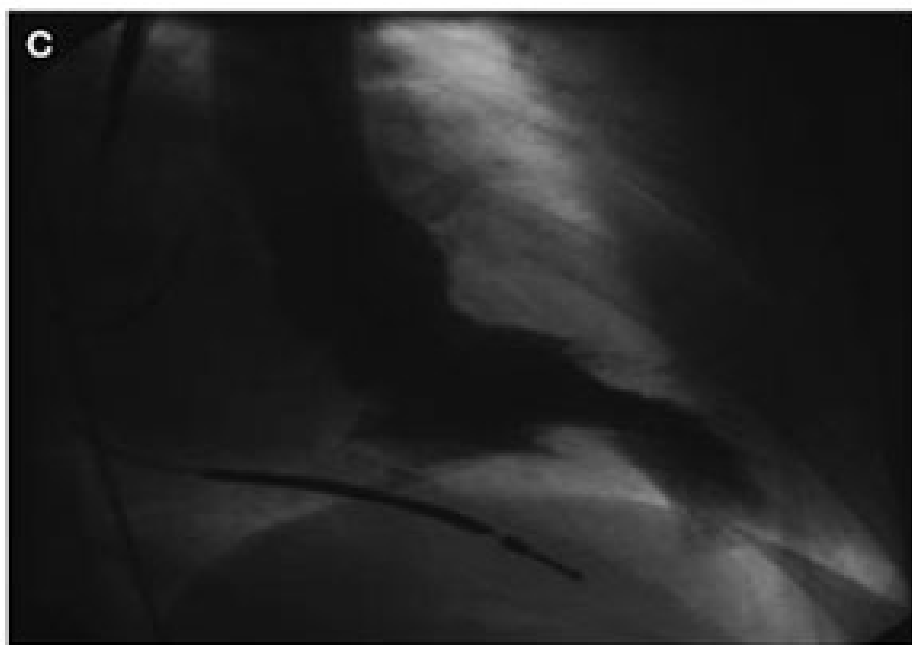
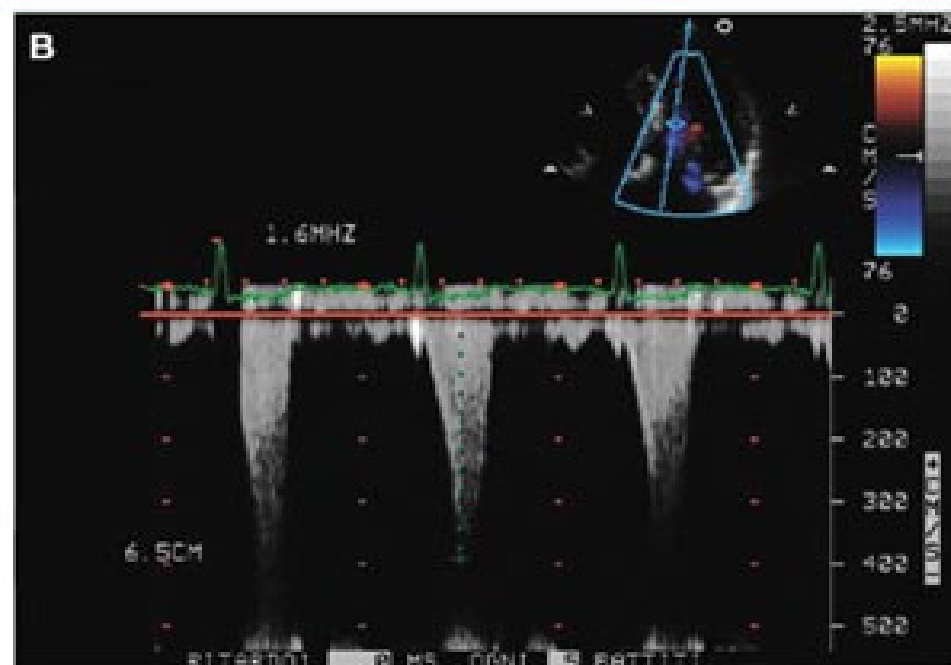
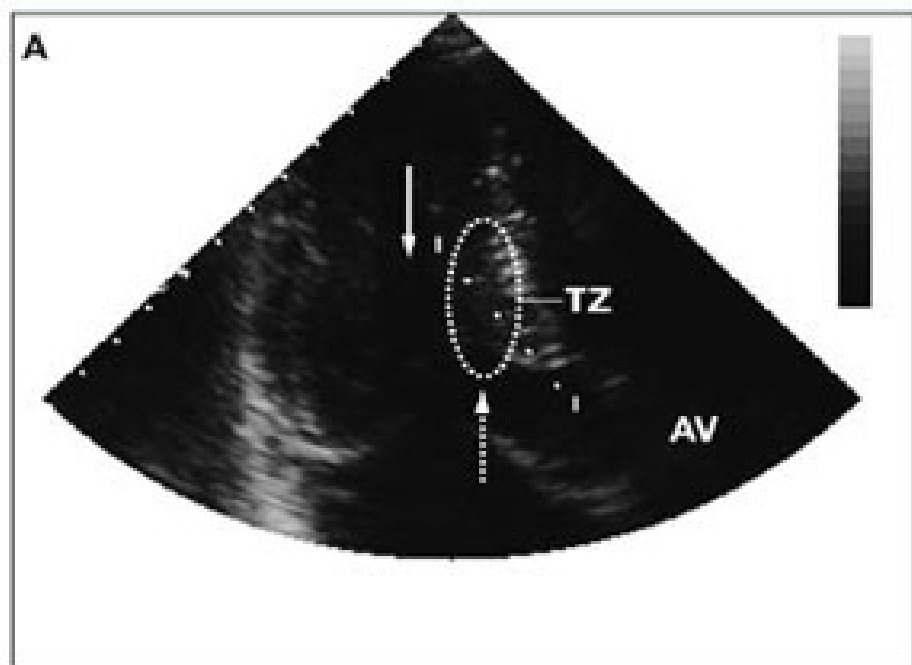
# IHSS

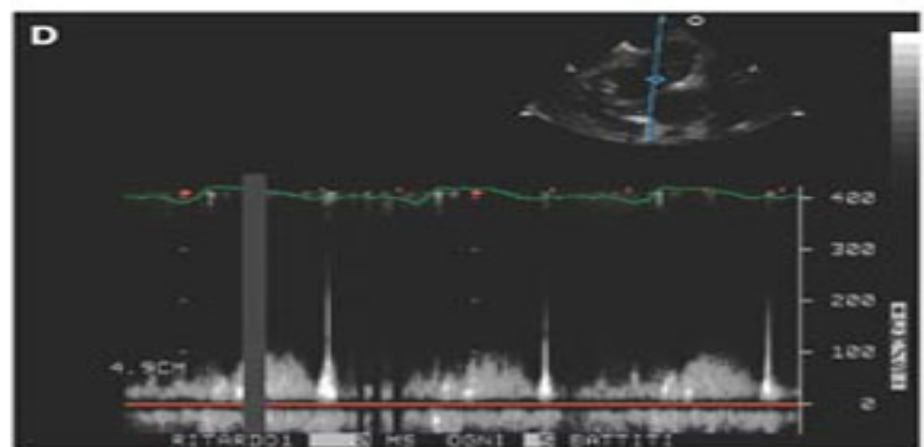
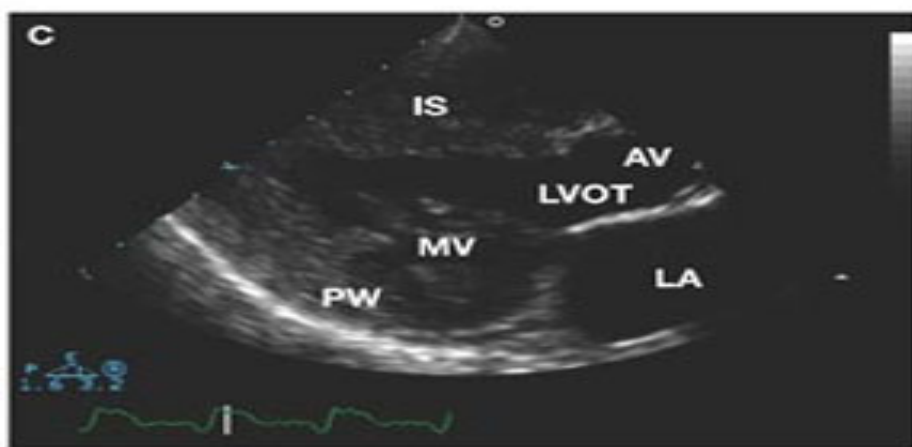
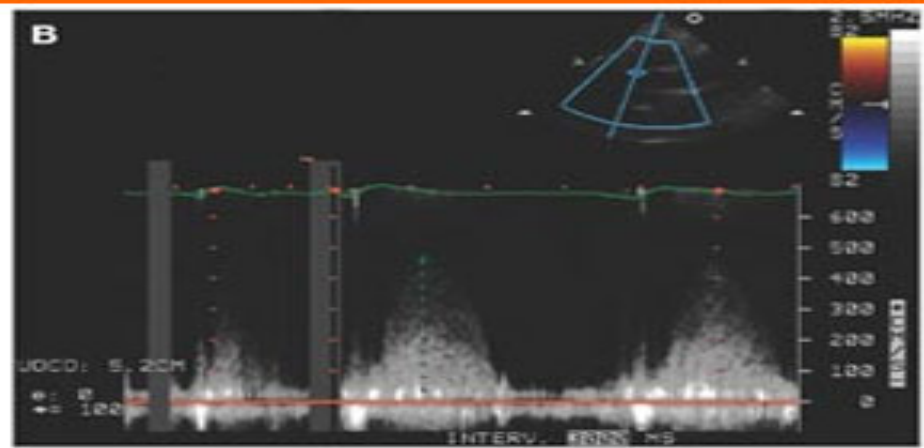
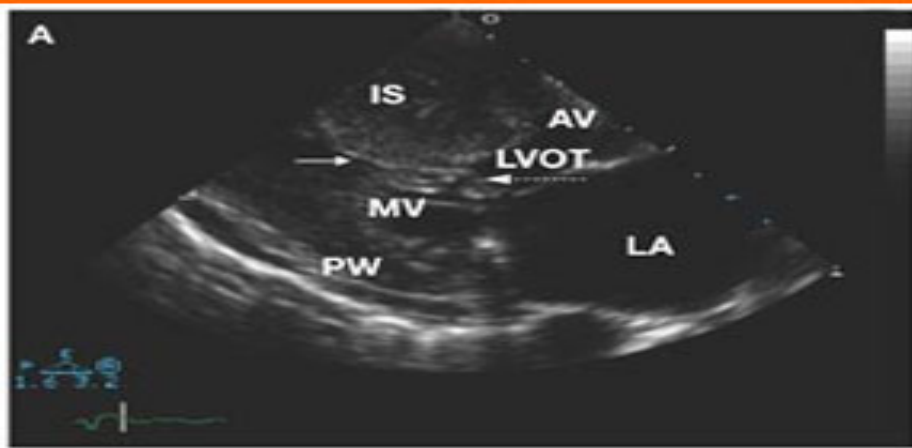




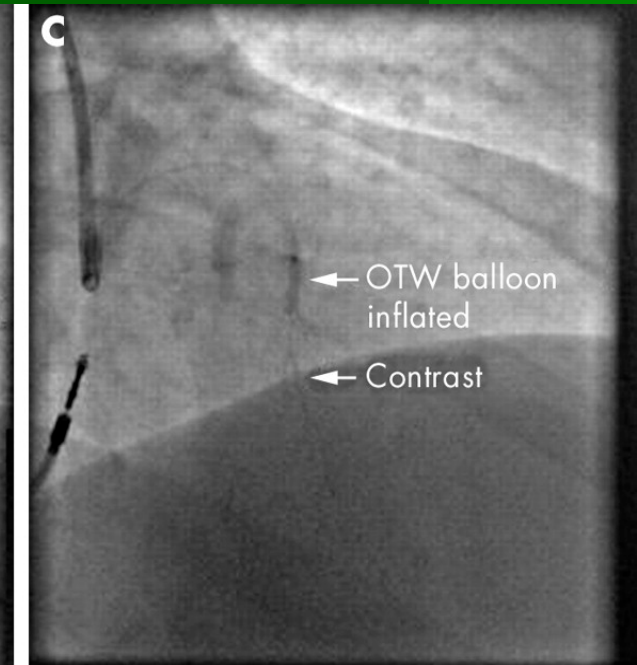
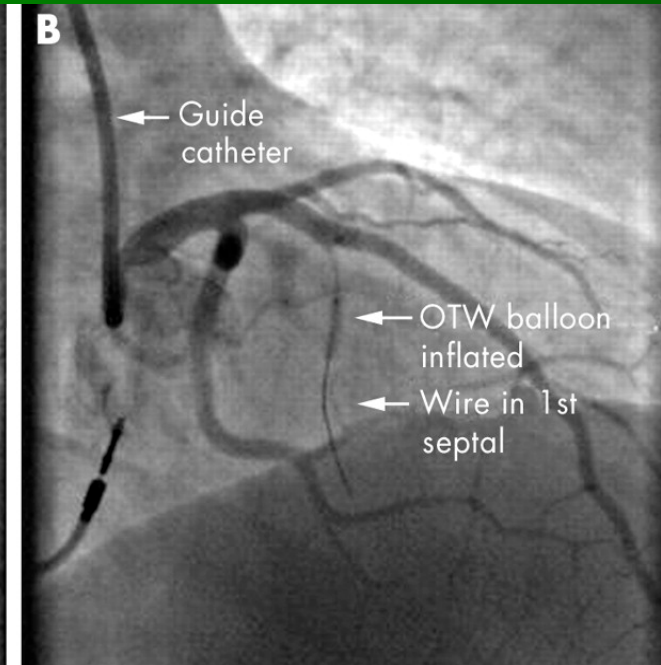
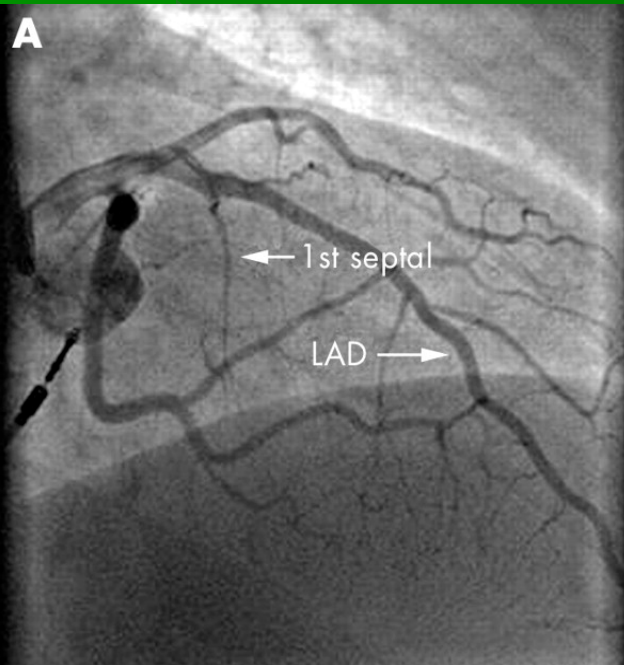
# IHSS ECHO







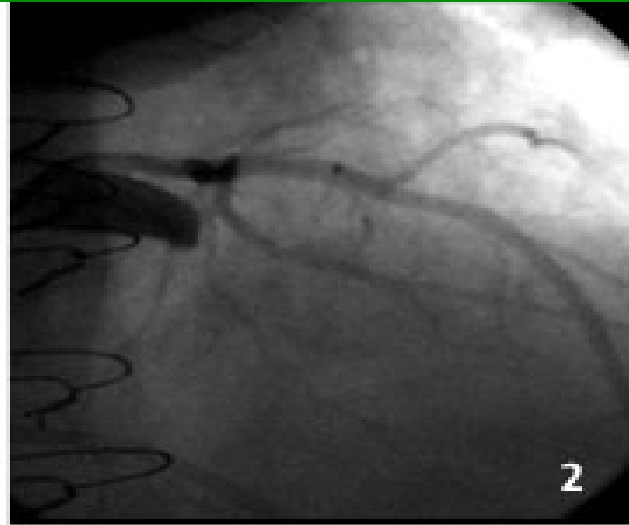
# ALCOHOL SEPTAL ABLATION



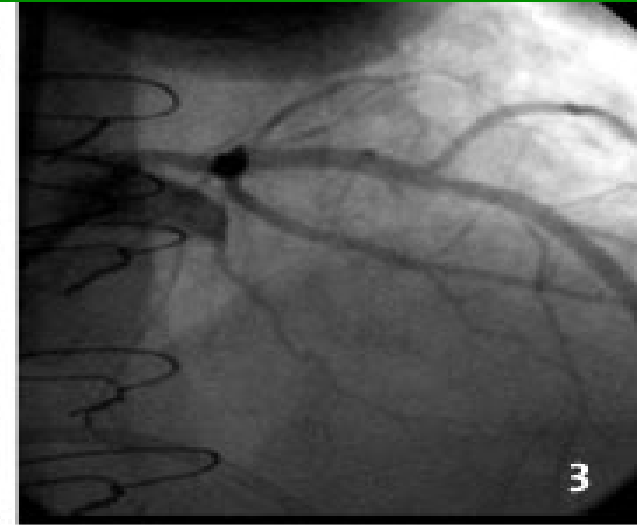
# ALCOHOL SEPTAL ABLATION



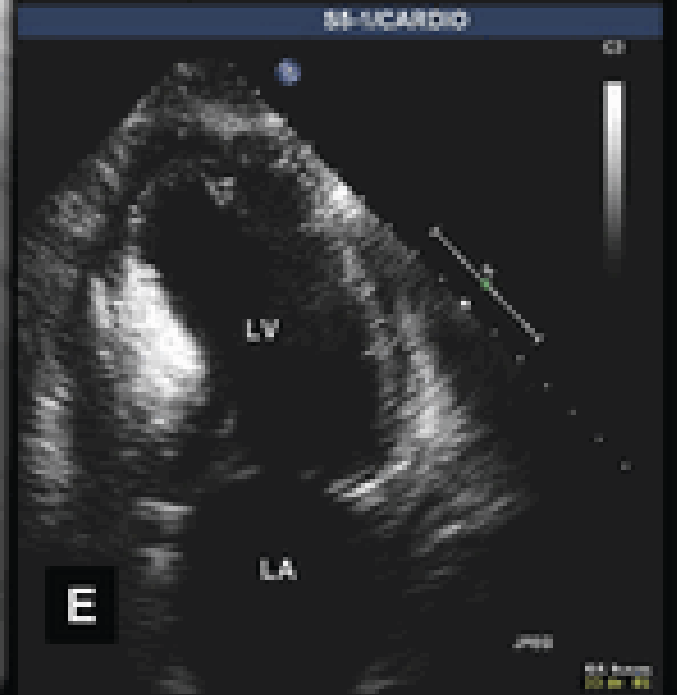
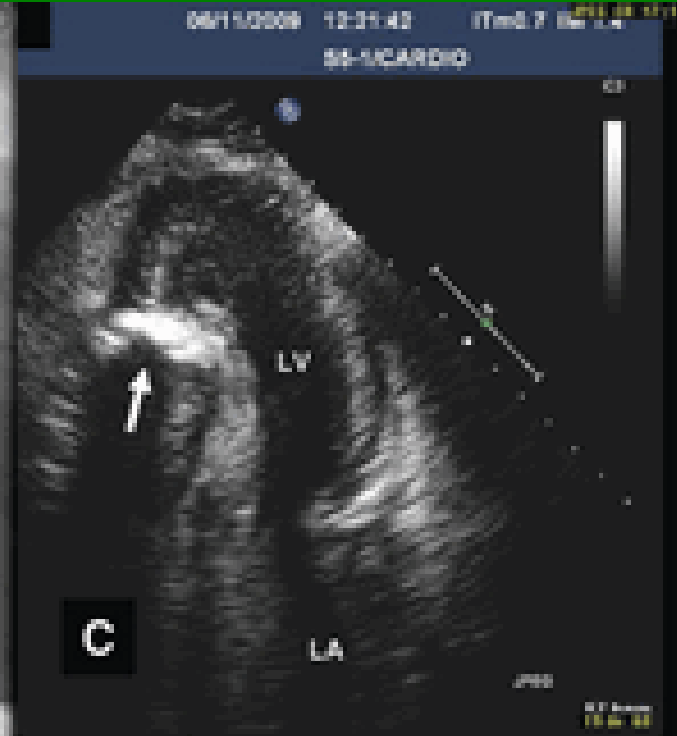
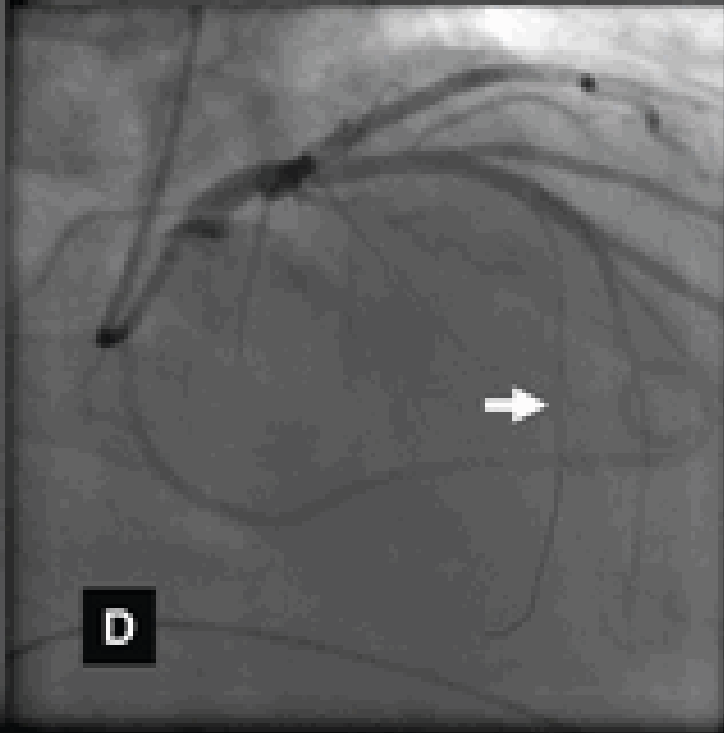
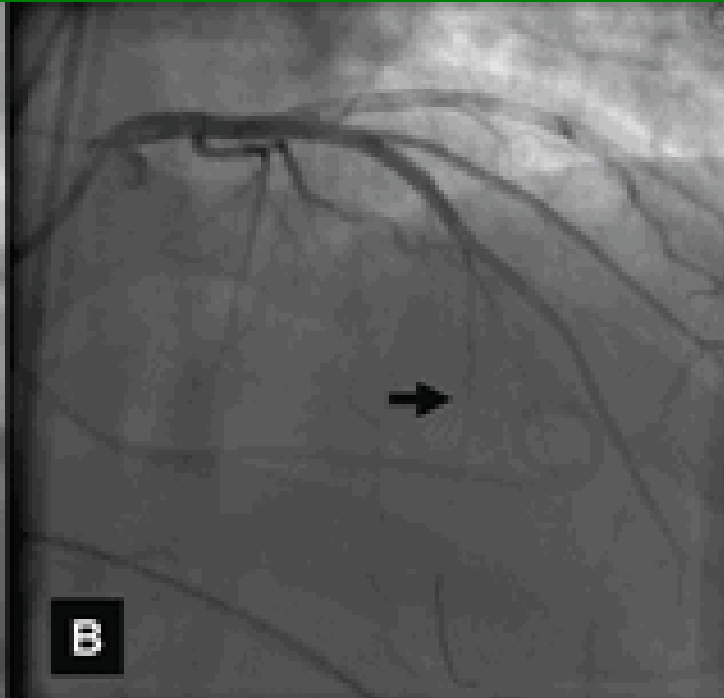
1: Pre-ablation (septal artery visible)



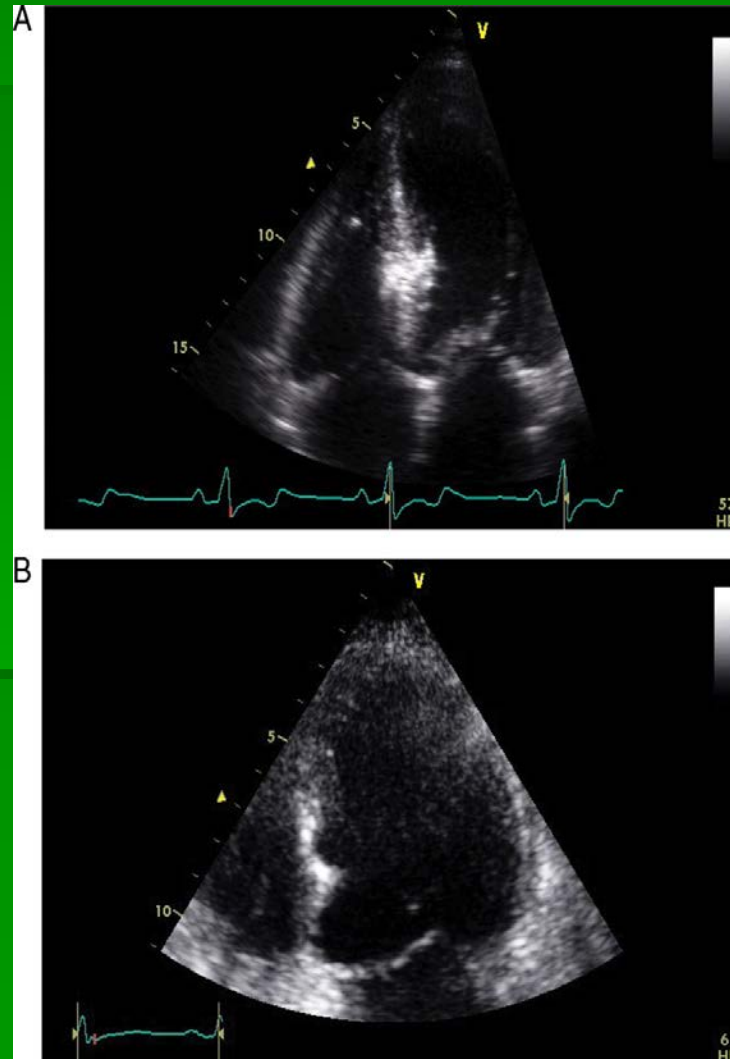
2: Ablation (balloon in septal artery)



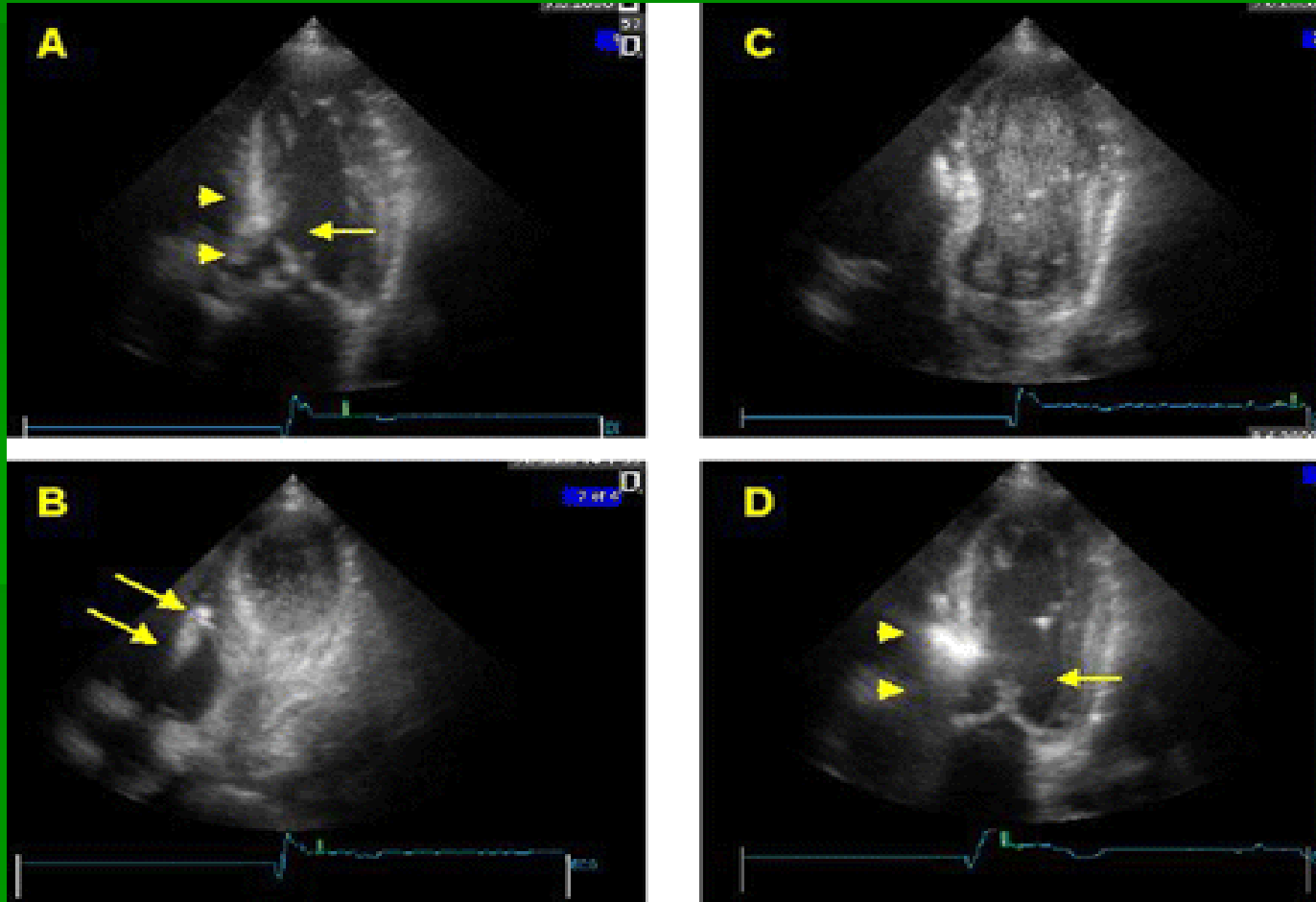
3: Post-ablation (septal artery no longer visible)



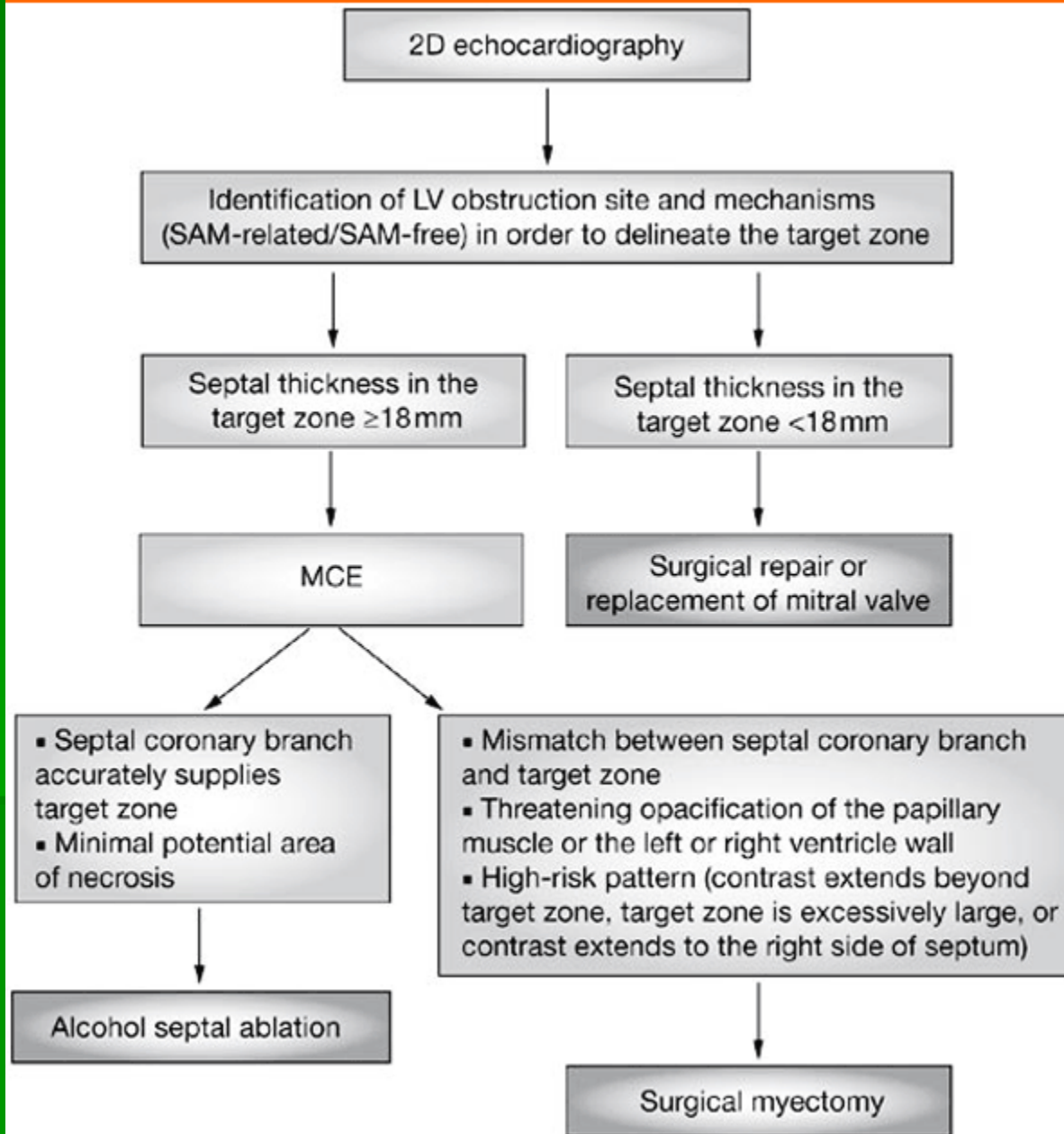
# CONTRAST LOCALIZATION IHSS



# ABNORMAL LOCATION OF CONTRAST IN IHSS



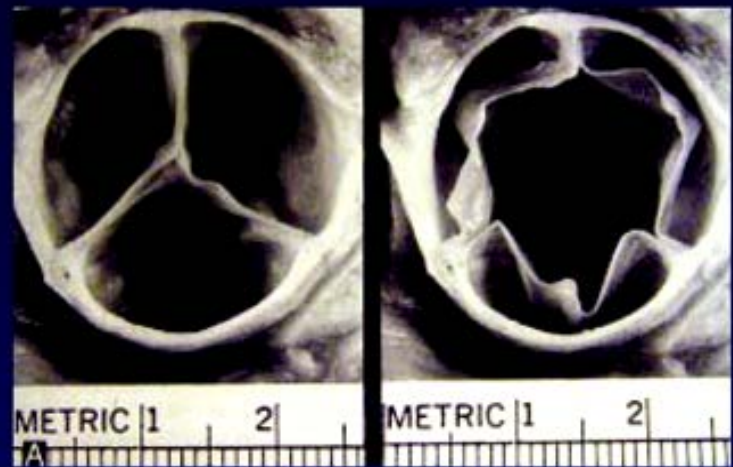




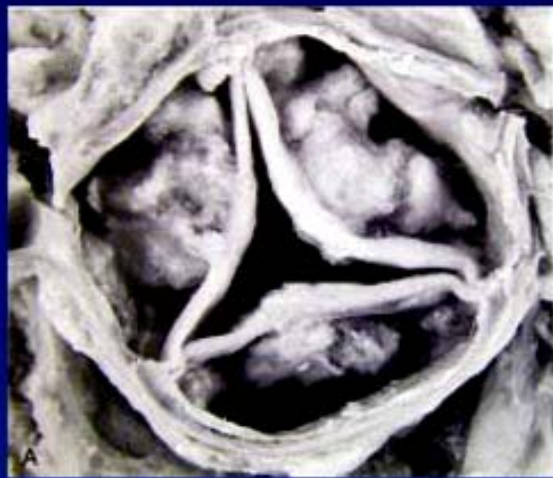
# TAVI

TRANSVASCULAR AORTIC  
VALVE INTERVENTION.

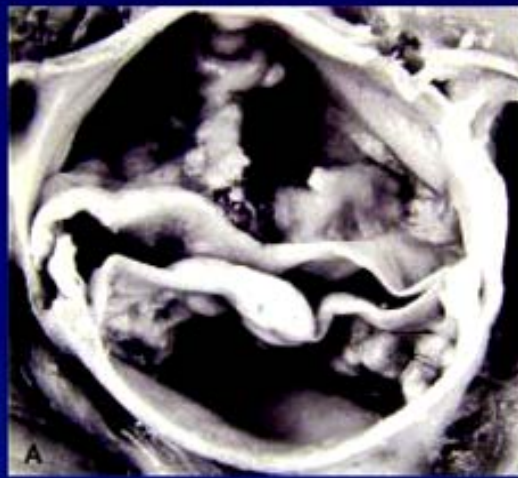
# Aortic Stenosis



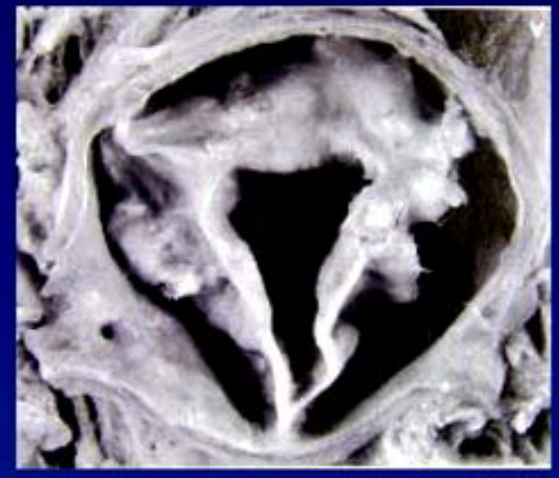
Normal



Degenerative calcific



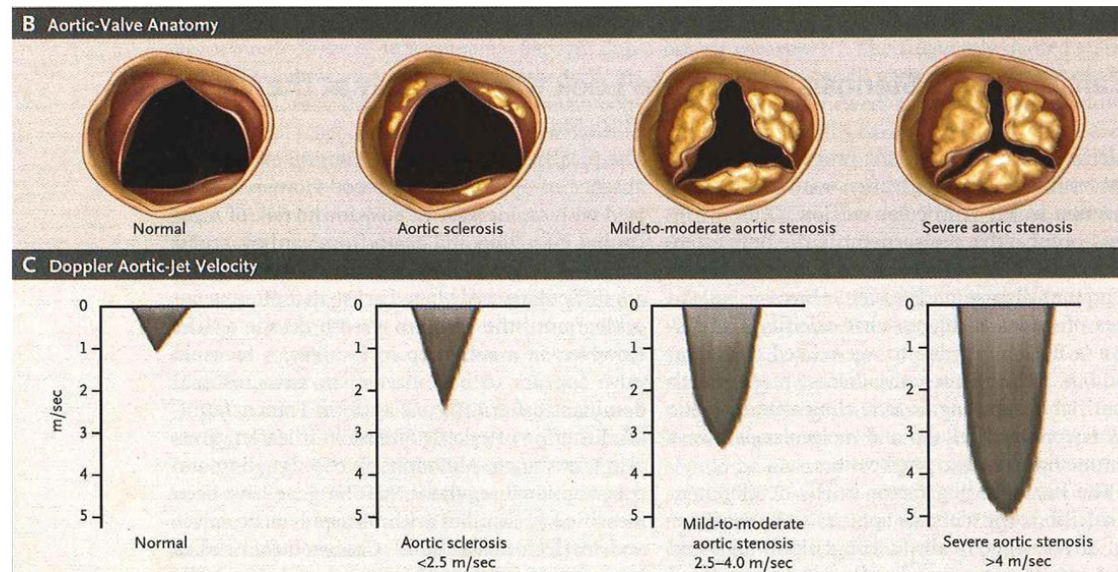
Bicuspid



Rheumatic

# Progression of Aortic Stenosis

The NEW ENGLAND JOURNAL of MEDICINE



**Figure 1. Disease Progression in Calcific Aortic Stenosis, Showing Changes in Aortic-Valve Histologic Features, Leaflet Opening in Systole, and Doppler Velocities.**

In Panel A, the histology of the early lesion is characterized by a subendothelial accumulation of oxidized low-density lipoprotein (LDL), production of angiotensin (Ang) II, and inflammation with T lymphocytes and macrophages. Disease progression occurs by several mechanisms, including local production of proteins, such as osteopontin, osteocalcin, and bone morphogenic protein 2 (BMP-2), which mediate tissue calcification; activation of inflammatory signaling pathways, including tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ), tumor growth factor  $\beta$  (TGF- $\beta$ ), the complement system, C-reactive protein, and interleukin-1 $\beta$ ; and changes in tissue matrix, including the accumulation of tenascin C, and up-regulation of matrix metalloproteinase 2 and alkaline phosphatase activity. In addition, leaflet fibroblasts undergo phenotypic transformation into osteoblasts, regulated by the Wnt3-Lrp5- $\beta$  catenin signaling pathway. Microscopic accumulations of extracellular calcification ( $\text{Ca}^{2+}$ ) are present early in the disease process, with progressive calcification as the disease progresses and areas of frank bone formation in end-stage disease. The corresponding changes in aortic-valve anatomy are viewed from the aortic side with the valve open in systole (Panel B) and in Doppler aortic-jet velocity (Panel C).

# The standard for critical AS RX is Surgical AVR

---



Mechanical



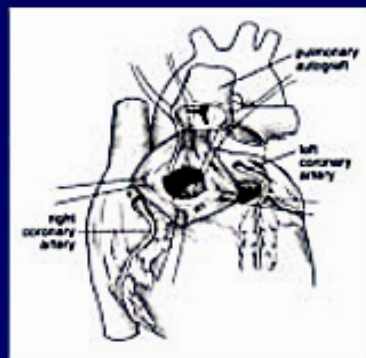
Tissue



Stentless



Homograft



Ross



# CC Mark -

## Edwards-Sapien

## ReValving<sup>®</sup> System CoreValve

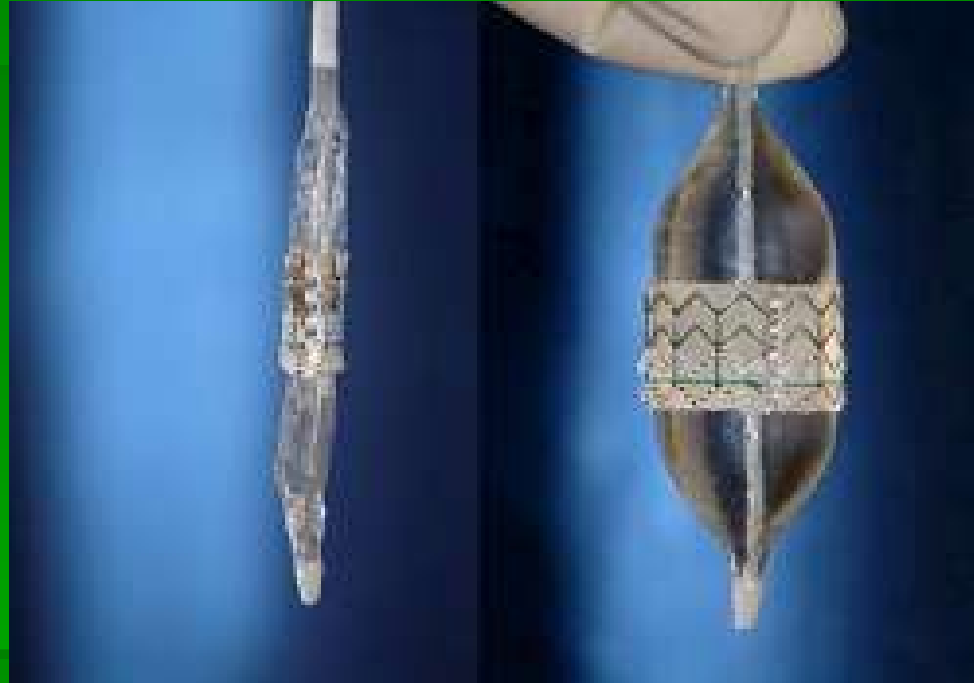


- Bovine pericardium Tri-leaflet configuration
- Mounted on a 14 mm long x 23 mm or 26 mm highly resistant stainless steel balloon expandable stent
- Delivery system 24F - 26F (ID)

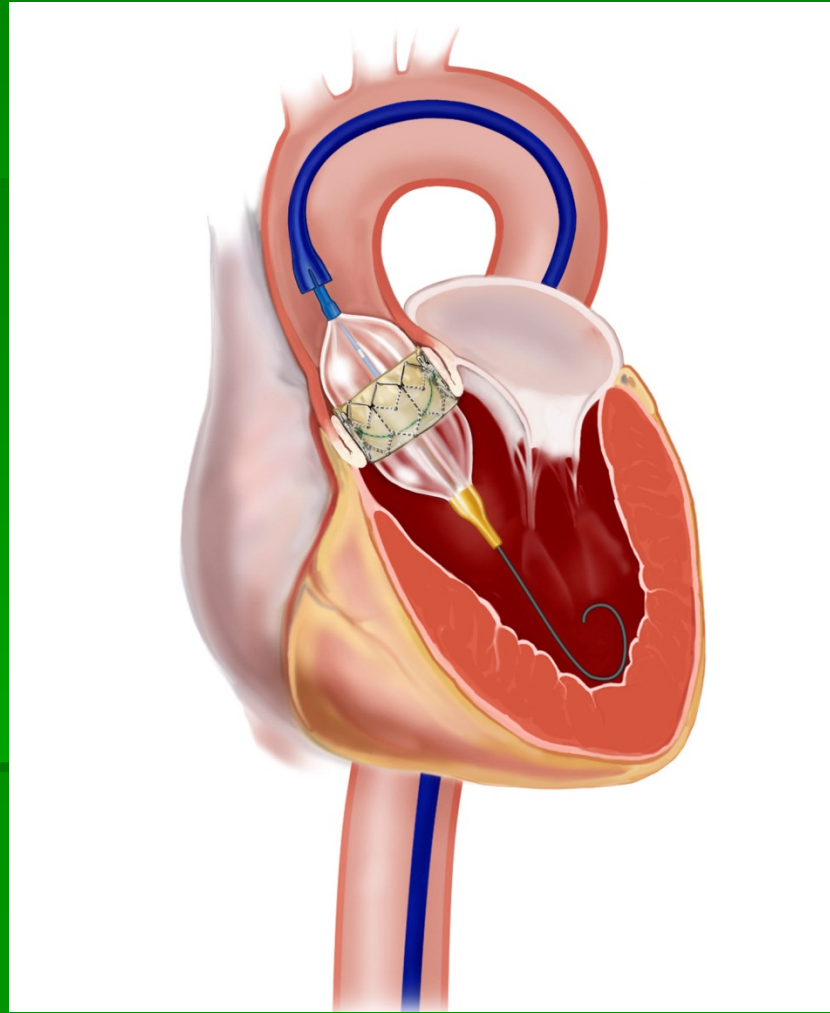


- Single layer porcine pericardium
- Tri-leaflet configuration
- Nitinol frame self-expandable - Inflow: 26 and 29 mm – 20 to 27 mm annulus
- Delivery system 18F / 12F (OD)

# TAVI

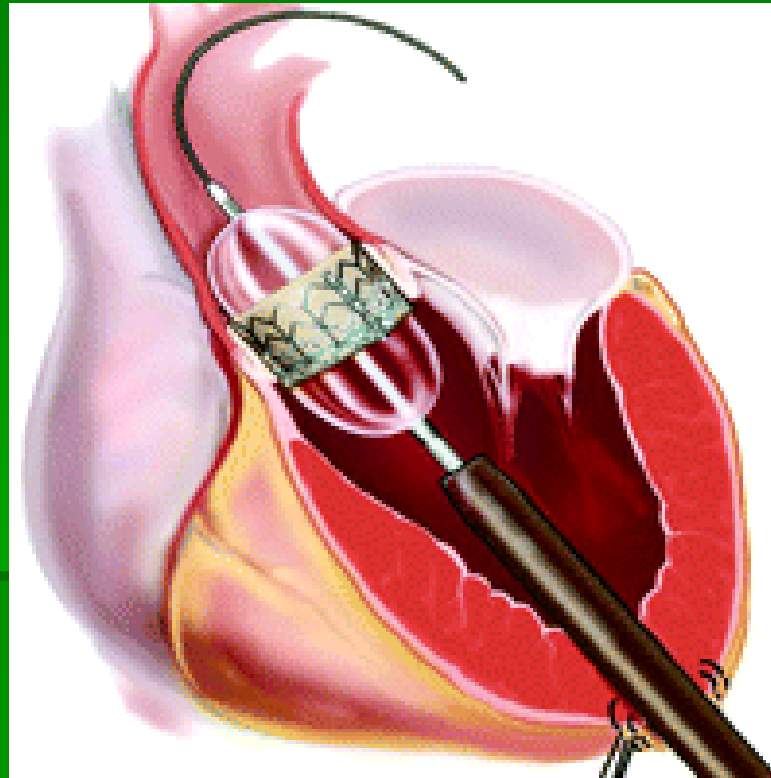


# TAVI





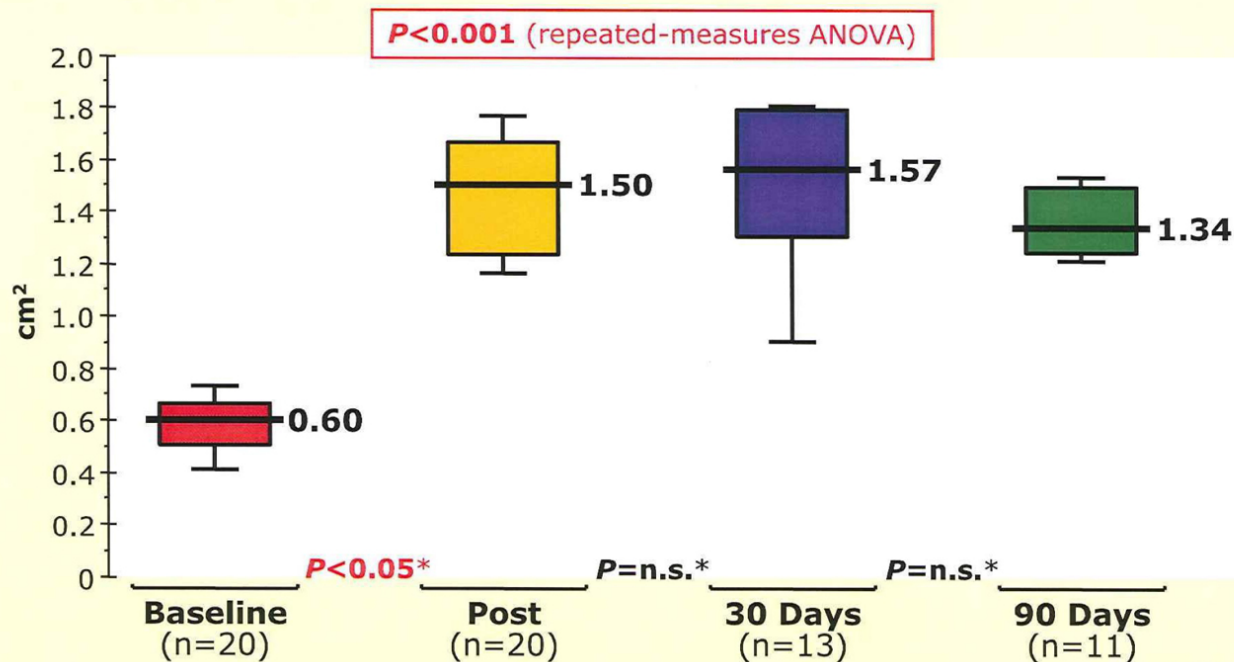
# SAVI



# The DFM AV Prosthesis European Clinical Trial



- **Aortic orifice area** in patients with a permanent implant



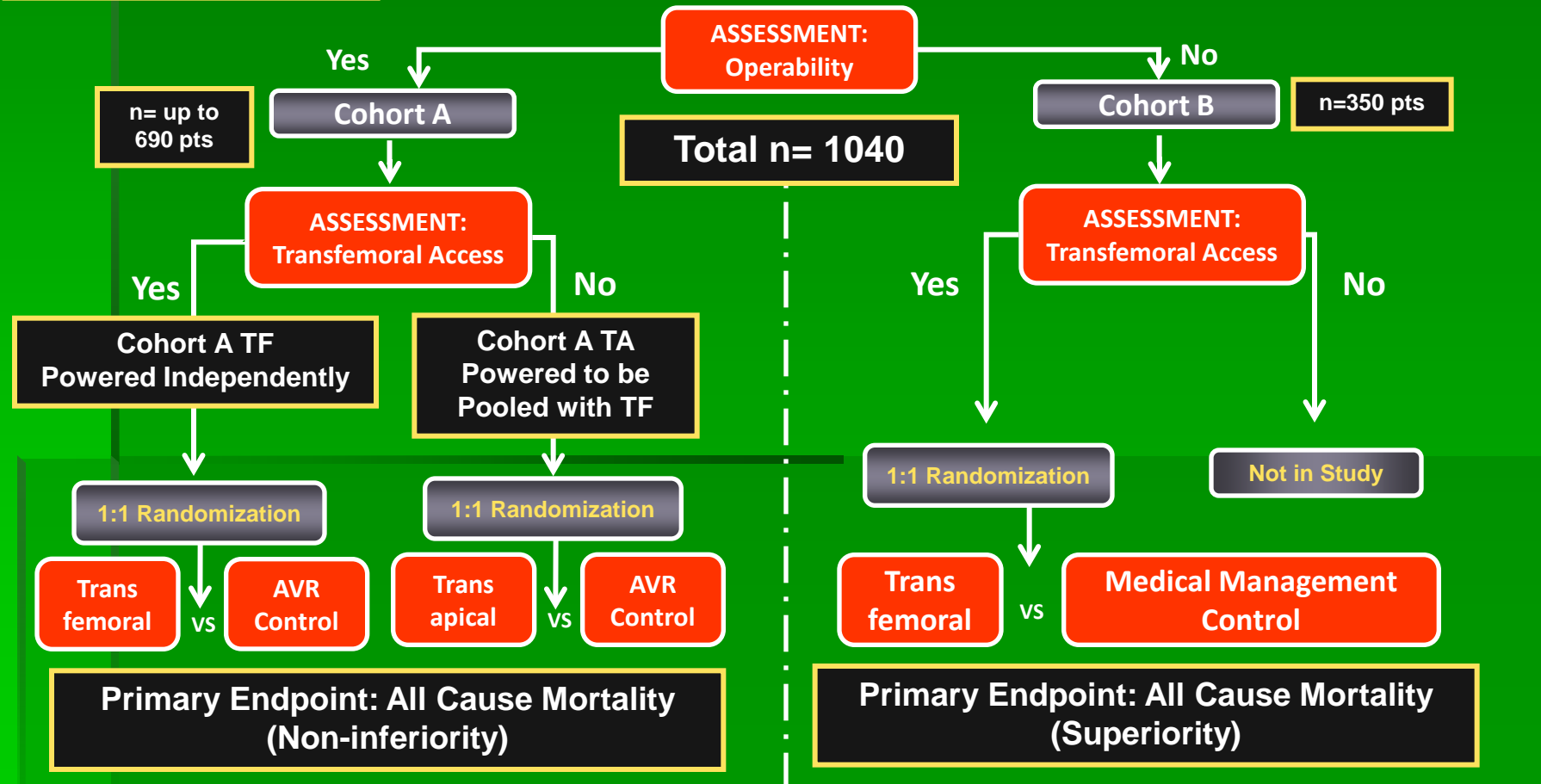
\*Holm test

# The PARTNER IDE Trial

Population: High Risk/Non-Operable Symptomatic, Critical Calcific Aortic Stenosis

Co-principal Investigators:  
Martin B. Leon, MD Interventional Cardiology  
Craig Smith, MD, Cardiac Surgeon  
Columbia University

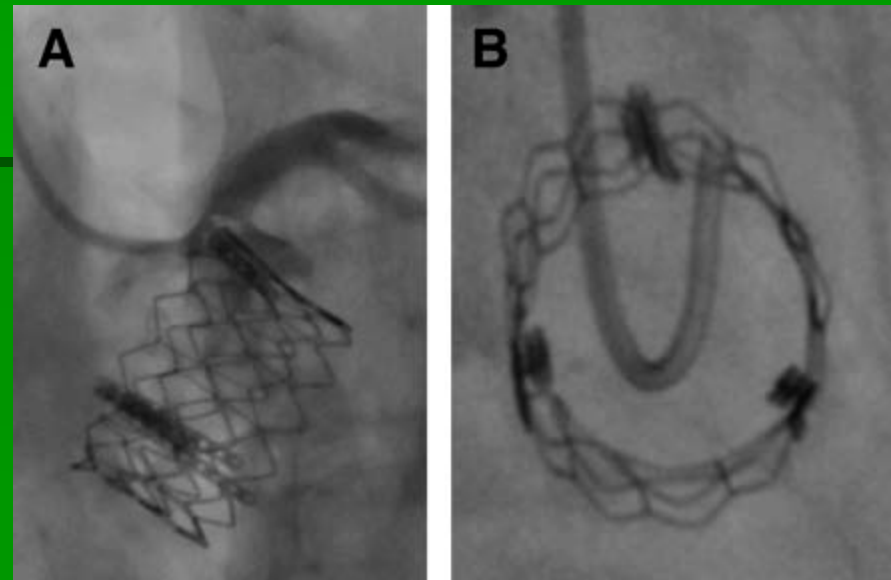
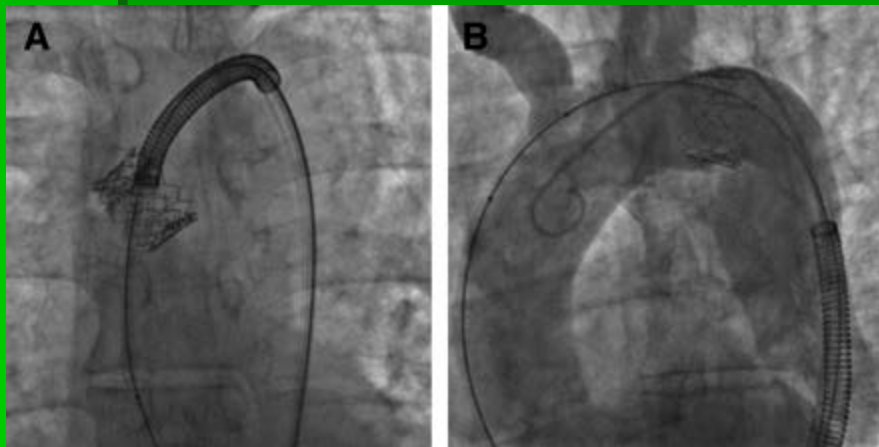
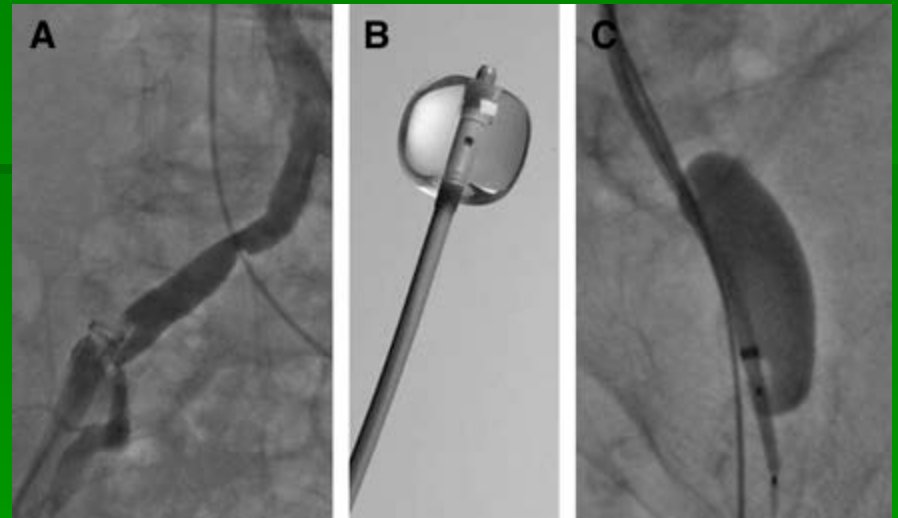
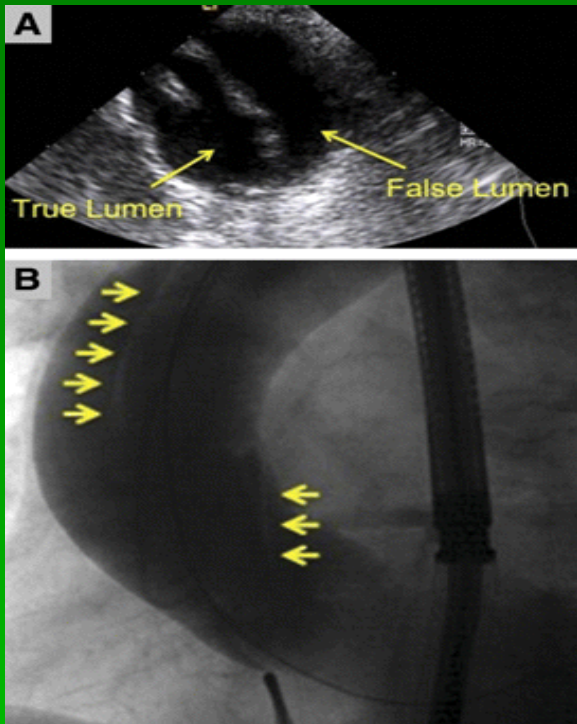
Two Trials: Individually Powered Cohorts (Cohorts A & B)



# PARTNERS TAVI VS MED

Primary End Points	TAVI (%)	Standard (%)	End point
1-y all-cause death	30.7	50.7	<0.001
1-y all-cause death or repeat hospitalization	42.5	71.6	<0.001
TAVI vs Standard Therapy Secondary End Points			
30-d major stroke	5.0	1.1	0.06
30-d vascular comp	16.2	1.1	<0.001
1-y cardiac death	19.6	41.9	<0.001
1-y major bleeding	22.3	11.2	0.007

# COMPLICATIONS OF TAVI



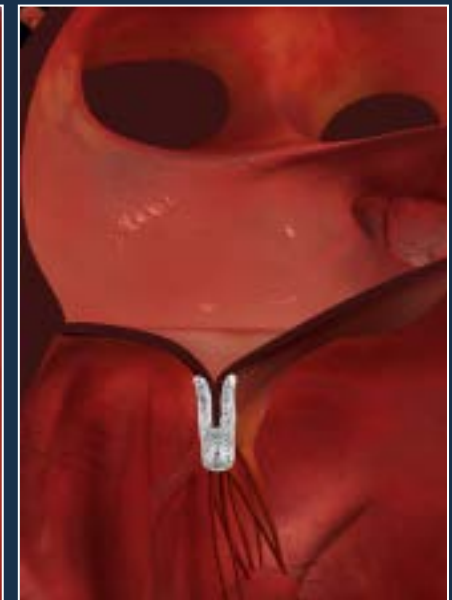
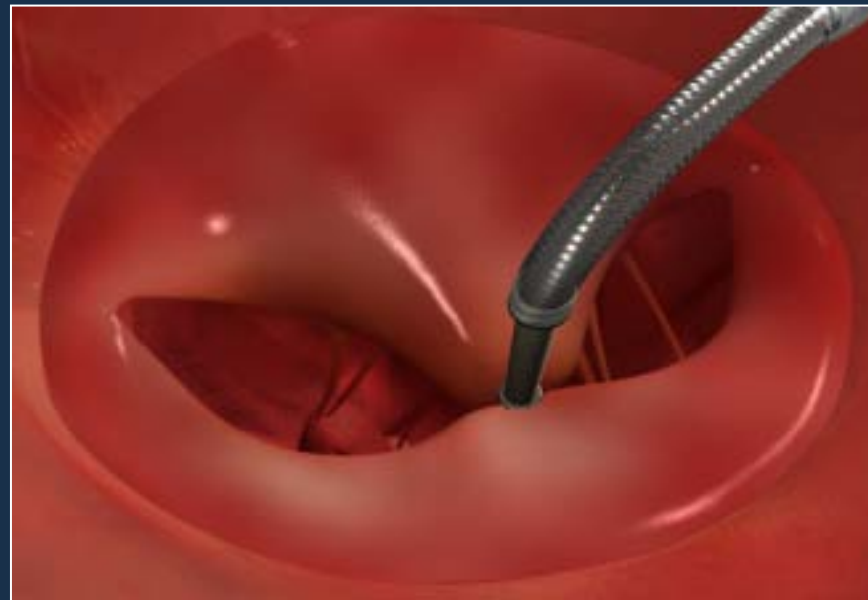
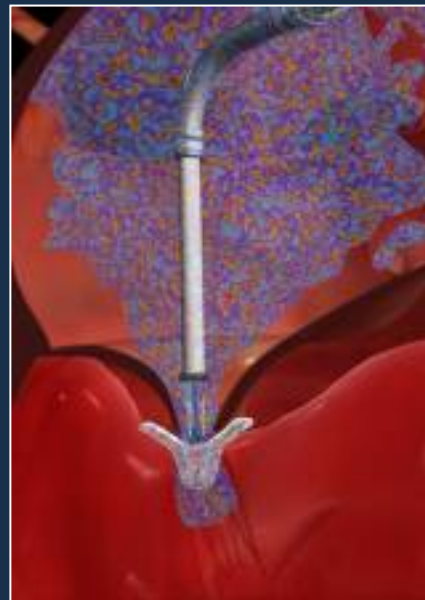
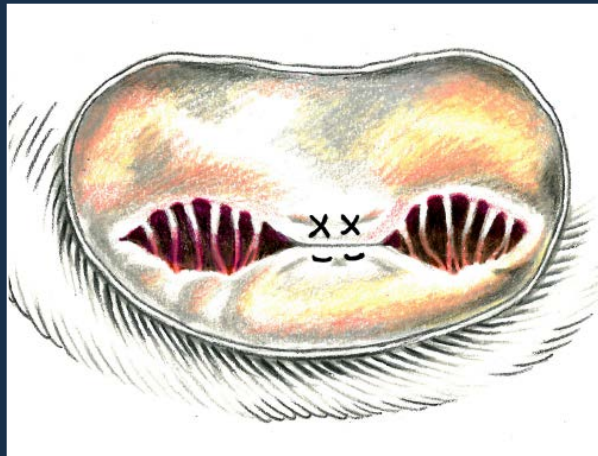
# MITRAL REGURGITATION

# Perspective

- >250,000 cases of significant Mitral Regurgitation diagnosed annually in the US
- Current therapeutic options:
  - Medical management
    - Effective in symptom management
    - Ineffective in treating underlying pathophysiology or disease progression
  - Surgical Repair or Replacement (Standard of Care)
    - Effective yet invasive with associated morbidity
    - Only ~20% of patients with significant MR undergo MV surgery
- Unmet need for an effective less invasive option

# Catheter-Based Mitral Valve Repair

## MitraClip<sup>®</sup> System





# EVEREST II Randomized Clinical Trial

## Study Design

279 Patients enrolled at 37 sites

Significant MR (3+-4+)  
Specific Anatomical Criteria

↓  
Randomized 2:1

↙ ↘  
Device Group  
MitraClip System  
N=184

↙ ↘  
Control Group  
Surgical Repair or Replacement  
N=95

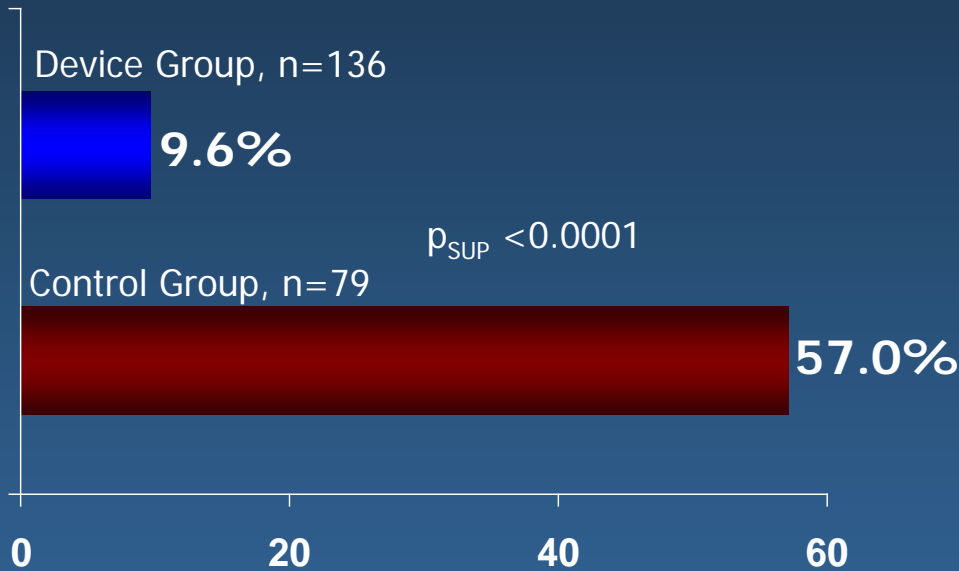
↓ ↓  
Echocardiography Core Lab and Clinical Follow-Up:  
Baseline, 30 days, 6 months, 1 year, 18 months, and  
annually through 5 years

# EVEREST II RCT: Primary Endpoints

## Per Protocol Cohort

### Safety

Major Adverse Events  
30 days



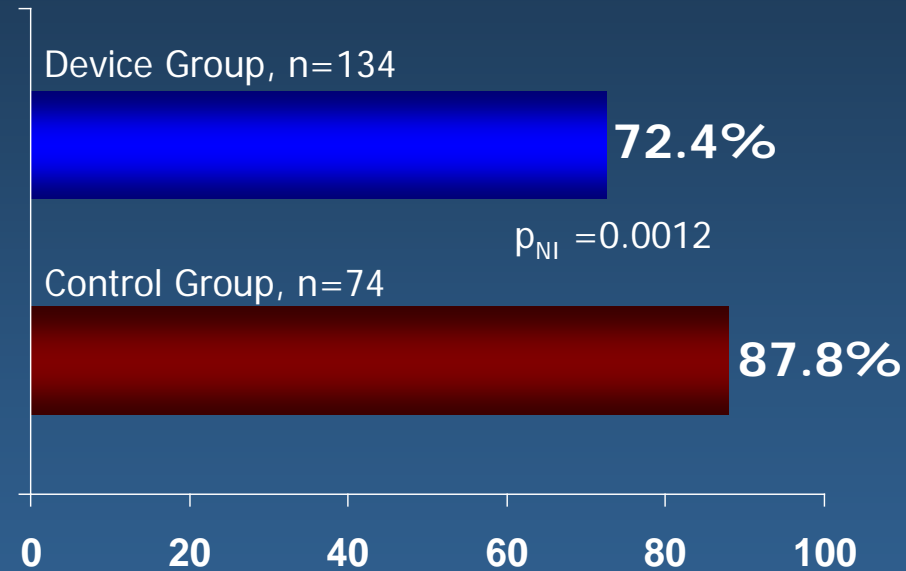
### Met superiority hypothesis

- Pre-specified margin = 6%
- Observed difference = **47.4%**
- 97.5% LCB = 34.4%

LCB = lower confidence bound  
UCB = upper confidence bound

### Effectiveness

Clinical Success Rate\*  
12 months



### Met non-inferiority hypothesis

- Pre-specified margin = 31%
- Observed difference = **15.4%**
- 95% UCB = 25.4%

\* Freedom from the combined outcome of death, MV surgery or re-operation for MV dysfunction, MR >2+ at 12 months

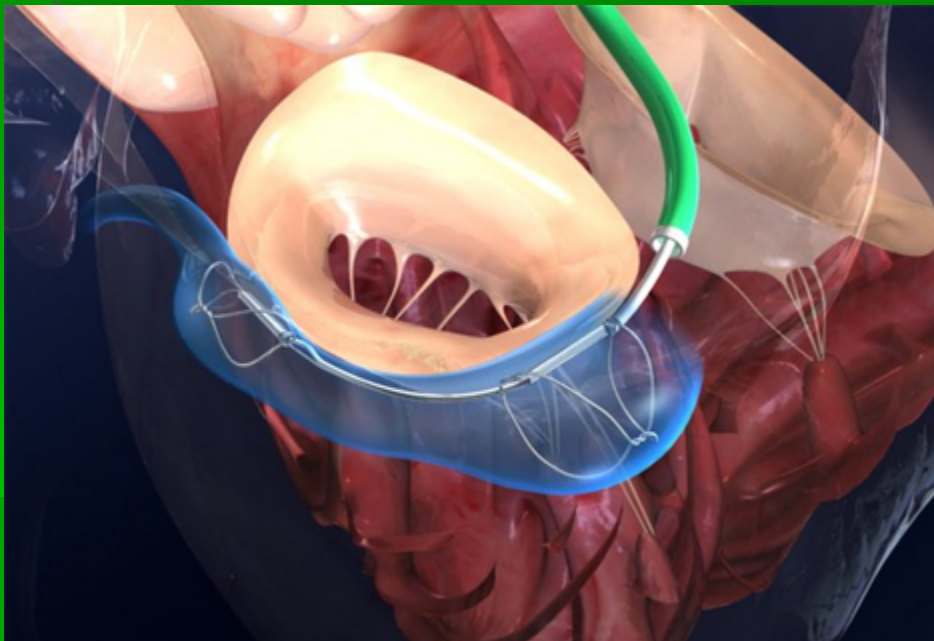
# EVEREST II RCT: Summary

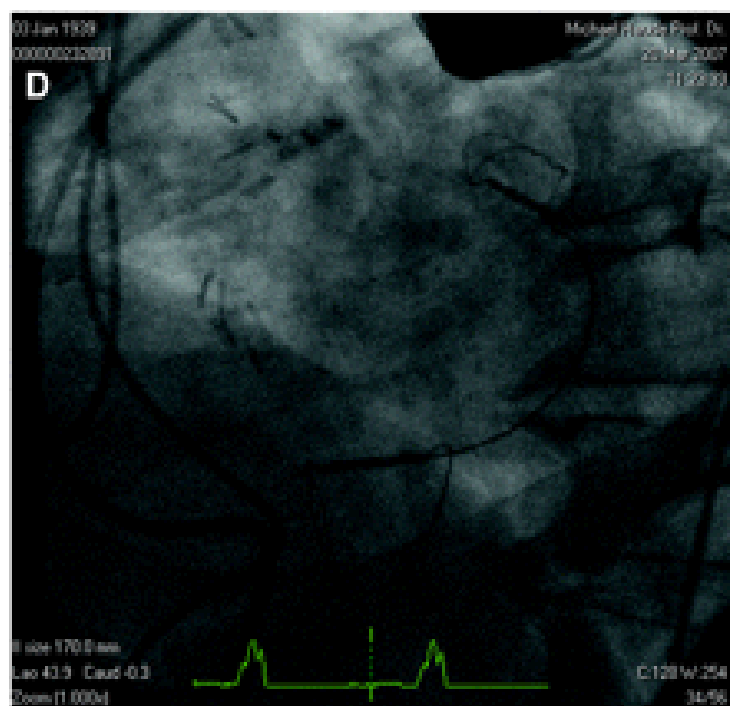
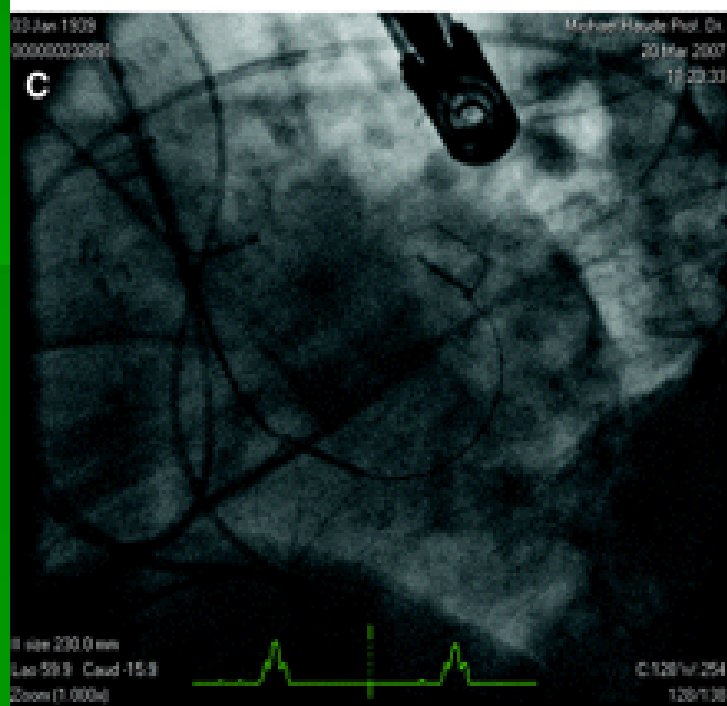
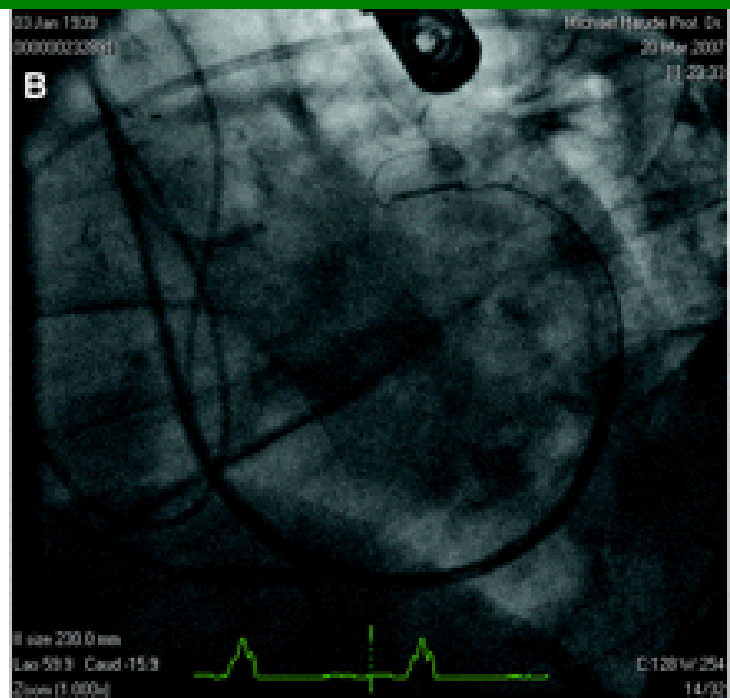
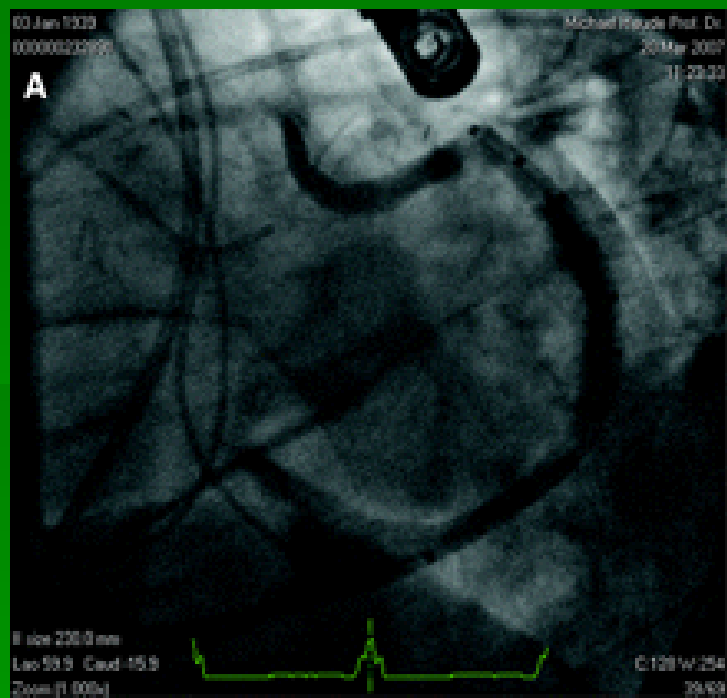
- Safety & effectiveness endpoints met
  - Safety: MAE rate at 30 days
    - MitraClip device patients: 9.6%
    - MV surgery patients: 57%
  - Effectiveness: Clinical Success Rate at 12 months
    - MitraClip device patients: 72%
    - MV Surgery patients: 88%
- Clinical benefit demonstrated for MitraClip System and MV surgery patients through 12 months
  - Improved LV function
  - Improved NYHA Functional Class
  - Improved Quality of Life
- Surgery remains an option after the MitraClip procedure

# MITRAL ANNULOPLASTY

- Percutaneous Mitral Annuloplasty for Functional Mitral Regurgitation: :
- **This was a single-arm evaluation of percutaneous mitral annuloplasty performed via the coronary sinus with the CARILLON Mitral Contour System.**
- **Patients with dilated cardiomyopathy, moderate to severe functional mitral regurgitation (MR), an ejection fraction <40%, and a 6-minute walk distance between 150 and 450 m were enrolled in the study.**
- **The outcome measures were echocardiographic MR grade, exercise tolerance, New York Heart Association class, and quality of life, and they were assessed at baseline and 1 and 6 months.**
- *Circulation 2009;120:326-333.*

# MITRAL ANNULOPLASTY RING





# MITRAL ANNULOPLASTY

- The study enrolled 48 patients,
- 18 did not receive the device.
- Of the 18 patients, 3 had coronary sinus perforation or dissection. In 13 patients, the device was recaptured due to slippage of the distal anchor (n = 3) and due to coronary artery compromise or insufficient reduction in MR (n = 10).
- 1 patient died during follow-up and there were 3 myocardial infarctions in the periprocedural phase. No device migration or late infarctions were seen. The major adverse event rate was 13% at 30 days.
- At 6 months, the severity of MR reduction on quantitative echocardiographic measures ranged from 22% to 32%. There was significant improvement in the 6-minute walk distance (from 307 m at baseline to 403 m at 6 months,  $p < 0.001$ ) and quality of life, measured by the Kansas City Cardiomyopathy Questionnaire ( $47 \pm 16$  points at baseline to  $69 \pm 15$  points at 6 months,  $p < 0.001$ ).

# MITRAL ANNULOPLASTY

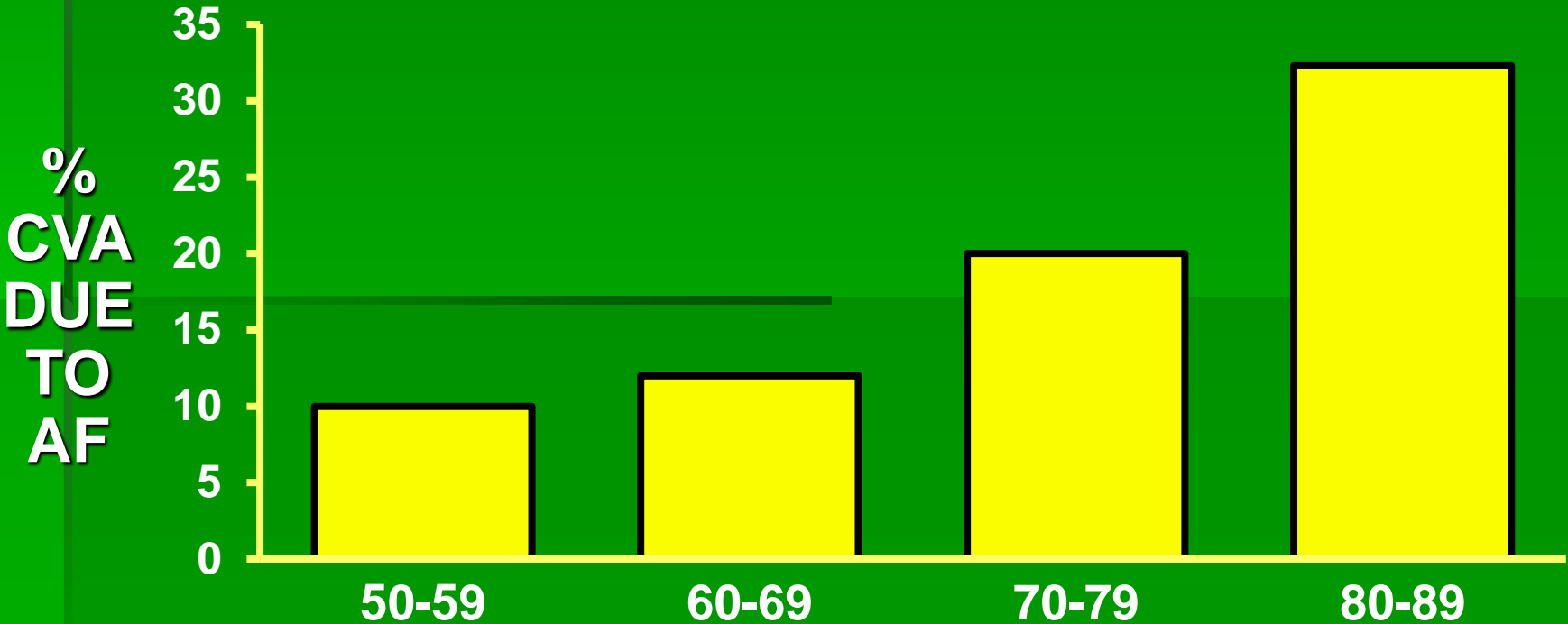
- The study demonstrates safety, efficacy, and feasibility of percutaneous mitral annuloplasty.
- The initial enthusiasm for coronary sinus–based percutaneous mitral annuloplasty waned once the variability in the relation of coronary sinus to the mitral annulus and the risk of coronary artery compromise were recognized .
- This study is provocative since the procedure was performed with reasonable safety, and there are some data to suggest efficacy in reducing MR and improvement in clinical status. This is a rapidly evolving field, and further refinement in the device and better preprocedural imaging will further improve safety and reduce the number of unsuccessful procedures. Larger controlled studies will be warranted to confirm the clinical improvement and assess long-term implications of percutaneous mitral annuloplasty before it can be used in routine clinical practice.



# LAA CLOSURE

# Non-Valvular Atrial Fibrillation

- 500,000 strokes/year in U.S.
- Up to 20% of ischemic strokes occur in patients with atrial fibrillation



# Non-Valvular Atrial Fibrillation Stroke Pathology

- Insufficient contraction of LAA leads to stagnant blood flow
- Culprit: embolization of LAA clot
- 90% of thrombus found in LAA
- TEE-based risk factors
  - Enlarged LAA
  - Reduced inflow and outflow velocities
  - Spontaneous Echo contrast

Johnson: Eur J Cardiothoracic Surg 17, 2000

Fagan: Echocardiography 17, 2000

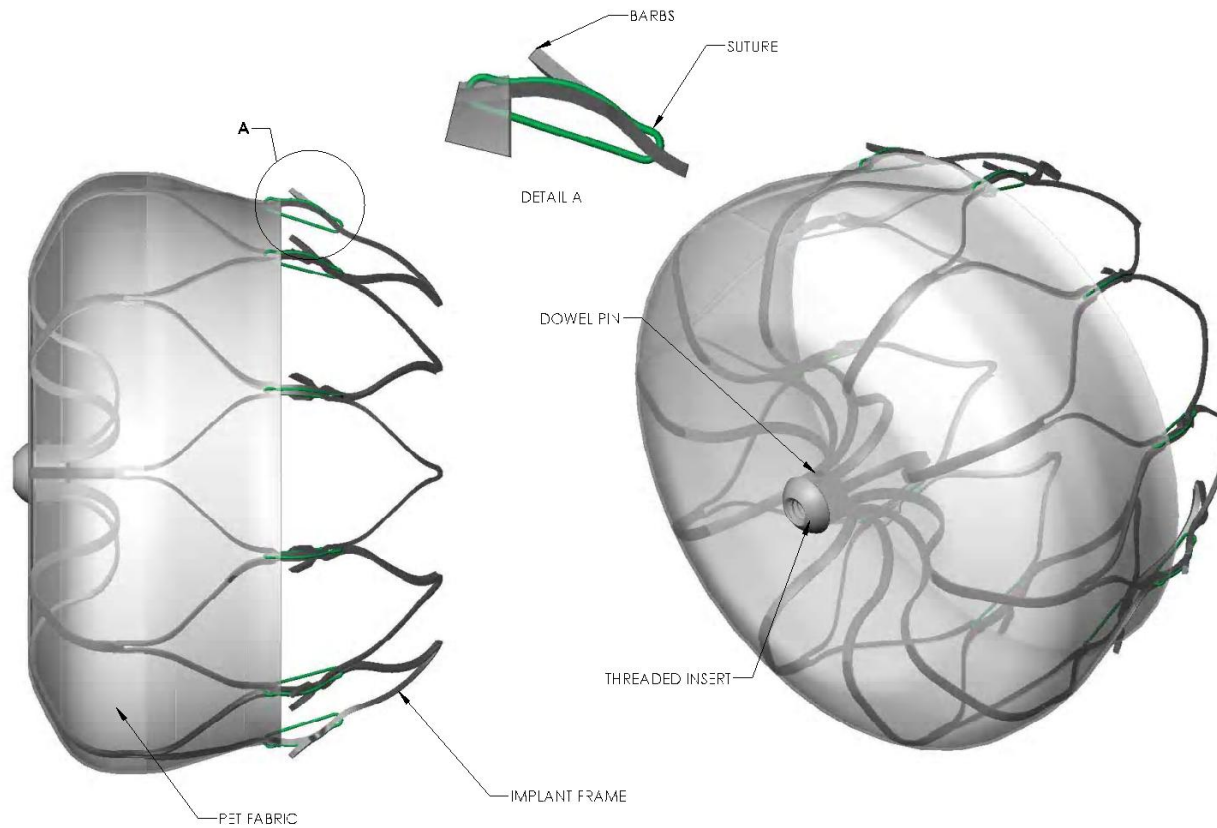
# Non-Valvular Atrial Fibrillation Stroke Pathology

- Major fatal bleed with age  $>75 = 3\%/year$   
(30% over 10 years)
- Intracranial hemorrhage
  - 0.3-0.5%/100 patient-years
  - 3% in INR  $>4.0$
  - 10% if INR  $>4.5$

Brass. Stroke 28(12), 1997

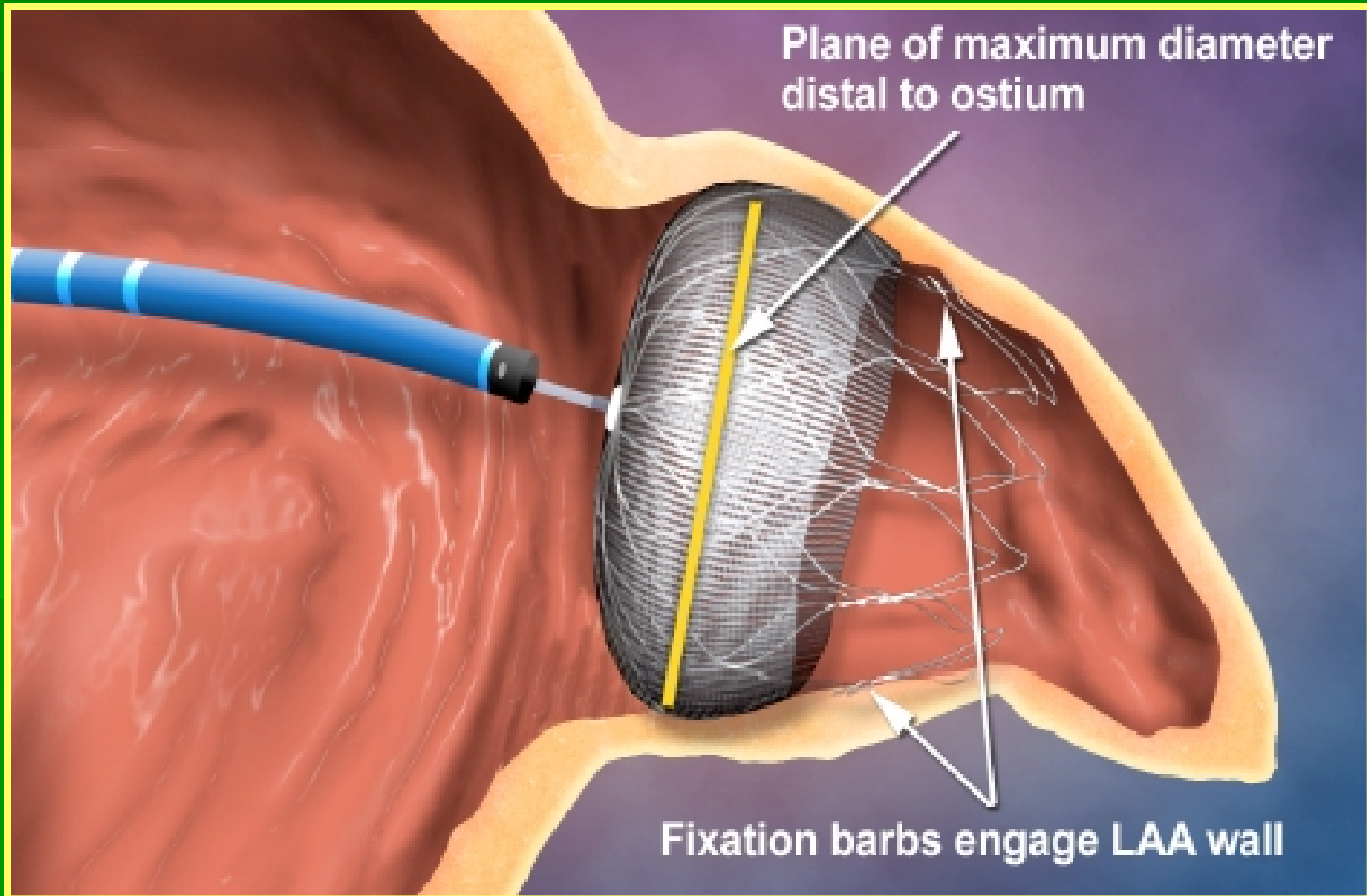
VanWalraven: JAMA 288, 2002

# WATCHMAN LAA Closure



# WATCHMAN LAA Closure Device

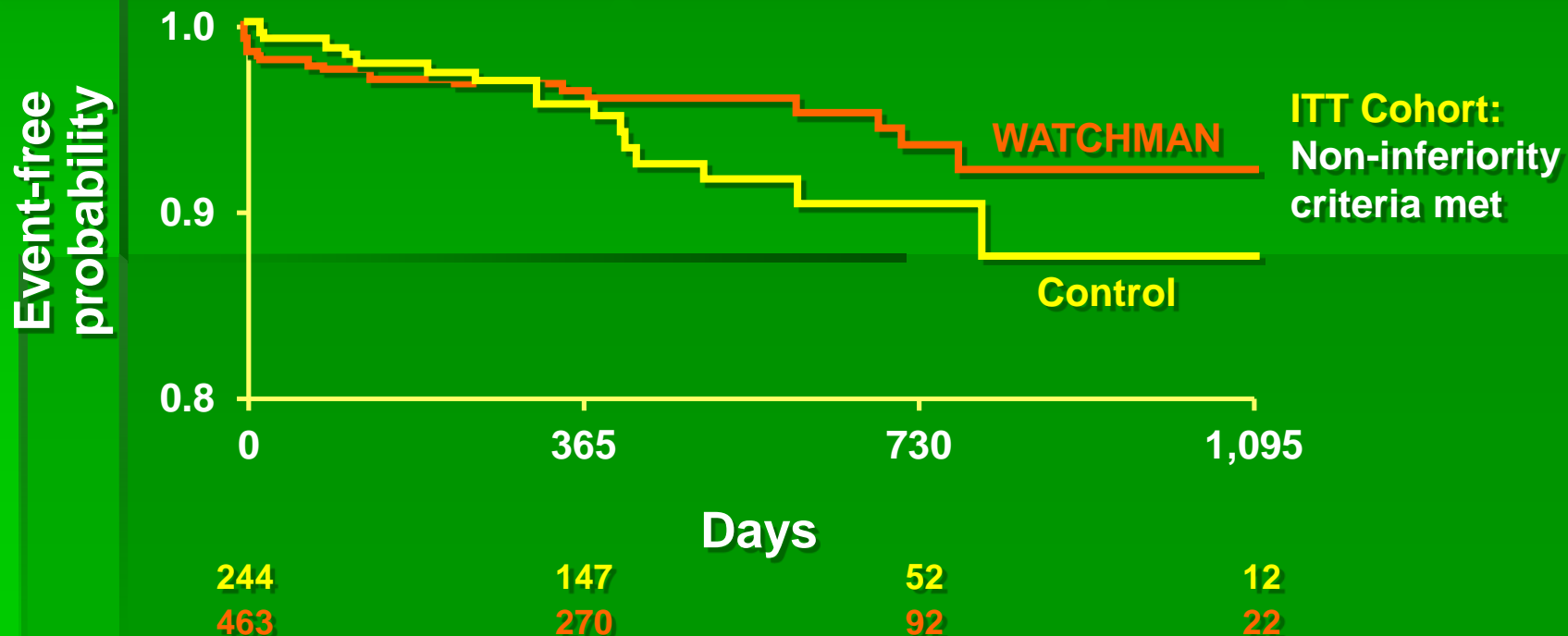
## in situ



# Intent-to-Treat Primary Efficacy Results

Randomization allocation (2 device : 1 control)

Cohort	Device			Control			Posterior Probabilities		
	Events (no.)	Total pt-yr	Rate (95% CI)	Events (no.)	Total pt-yr	Rate (95% CI)	Rel. Risk (95% CI)	Non-inferiority	Superiority
900 pt-yr	20	582.3	3.4 (2.1, 5.2)	16	318.0	5.0 (2.8, 7.6)	0.68 (0.37, 1.41)	0.998	0.837



# Intent-to-Treat Primary Safety Results

Randomization allocation (2 device : 1 control)

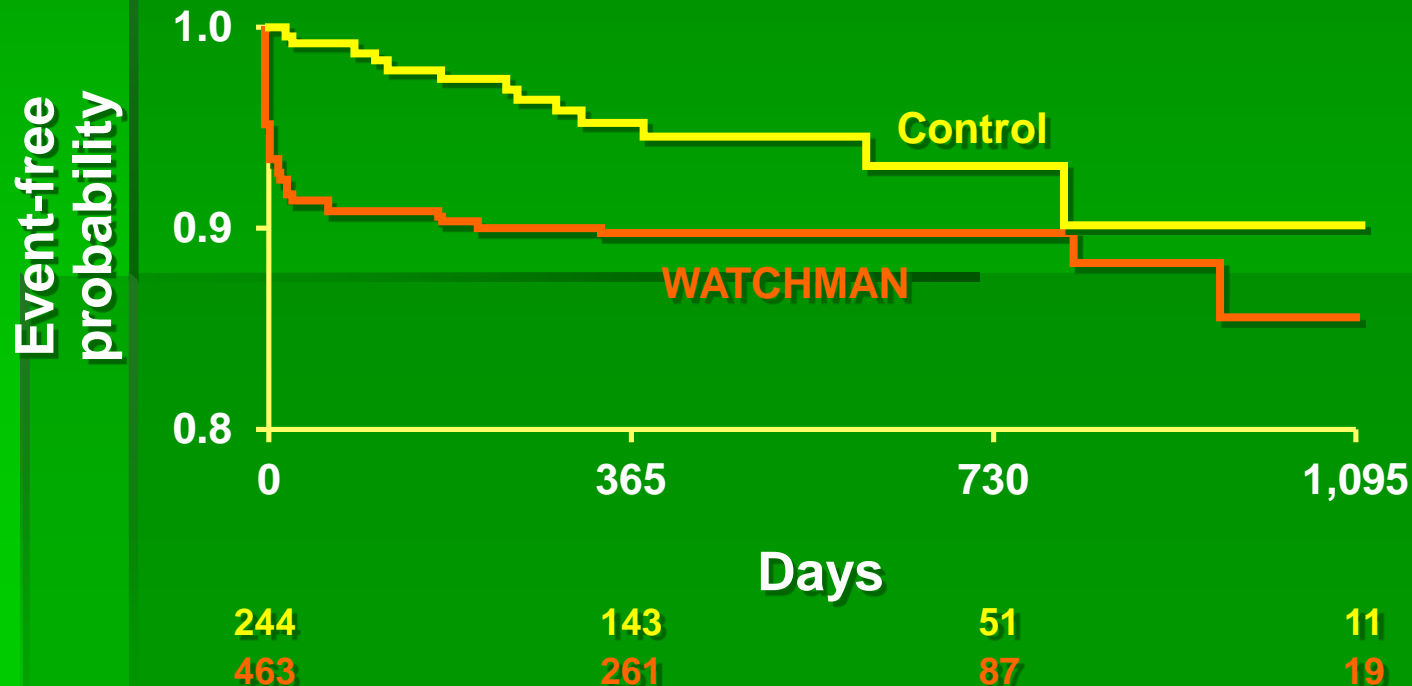
Device

Control

Cohort	Events (no.)	Total pt-yr	Rate (95% CI)
900 pt-yr	48	554.2	8.7 (6.4, 11.3)

Events (no.)	Total pt-yr	Rate (95% CI)
13	312.0	4.2 (2.2, 6.7)

Rel. Risk (95% CI)
2.08 (1.18, 4.13)





# PROTECT AF Summary

- PROTECT AF trial was a randomized, controlled, statistically valid study to evaluate the WATCHMAN device compared to warfarin
- hemorrhagic stroke risk is significantly lower with the device (91%).
- All cause stroke and all cause mortality risk are equivalent to that with warfarin (26 and 39%)
- Early safety events, specifically pericardial effusion.

# **EVAR**

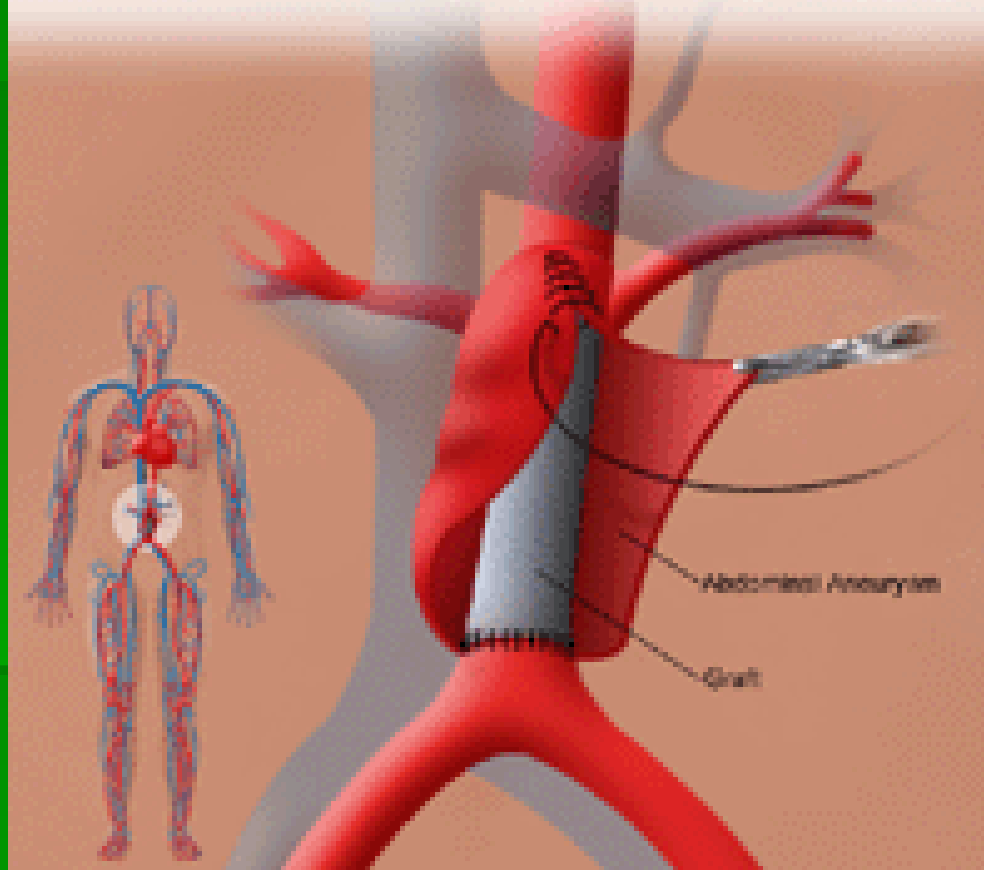
## **ENDOVASCULAR ANEURYSM REPAIR**

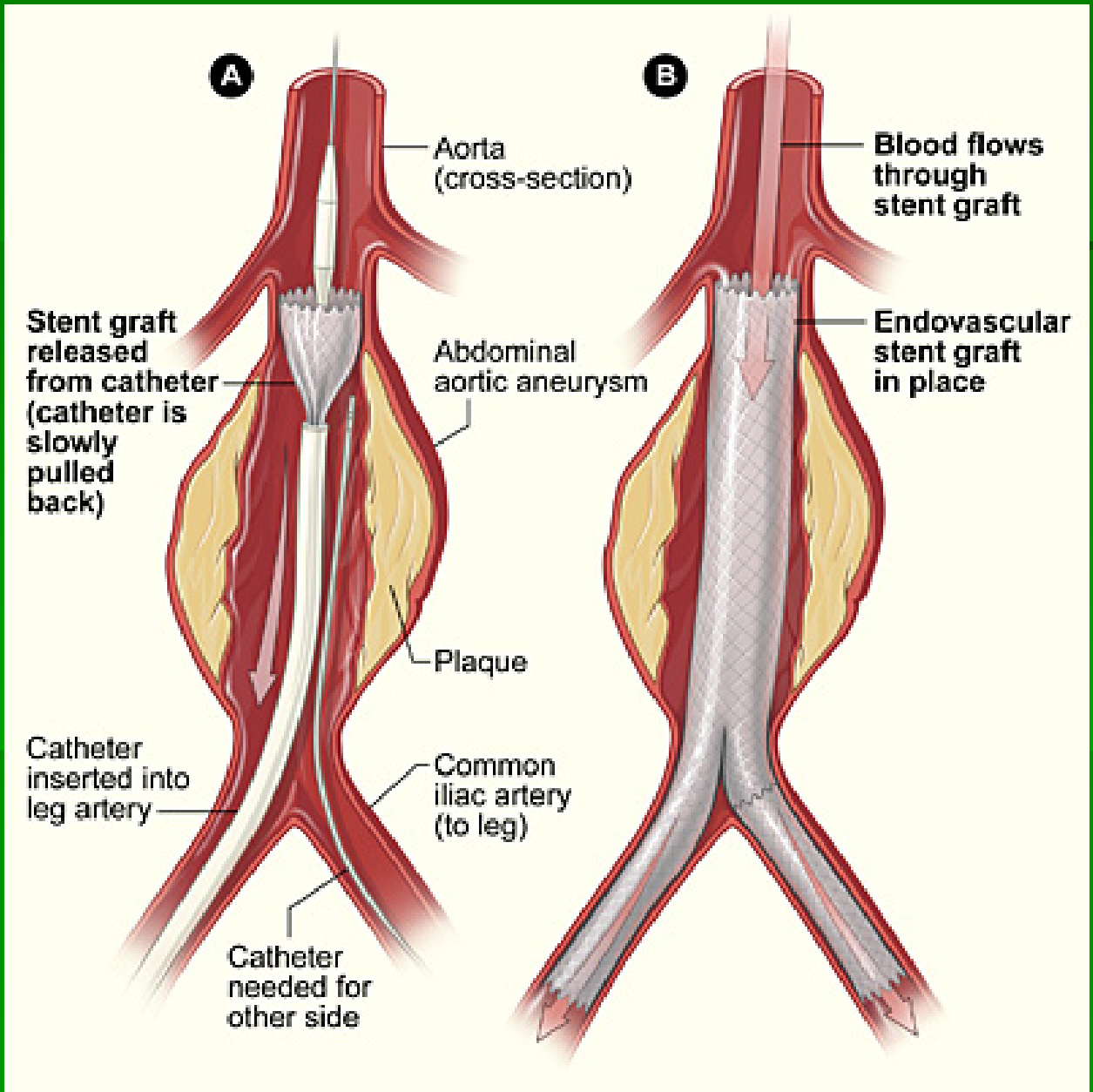
# ABDOMINAL AORTIC ANEURYSM



# SURGICAL REPAIR

Abdominal Aortic Aneurysm (AAA) Open Surgical Repair



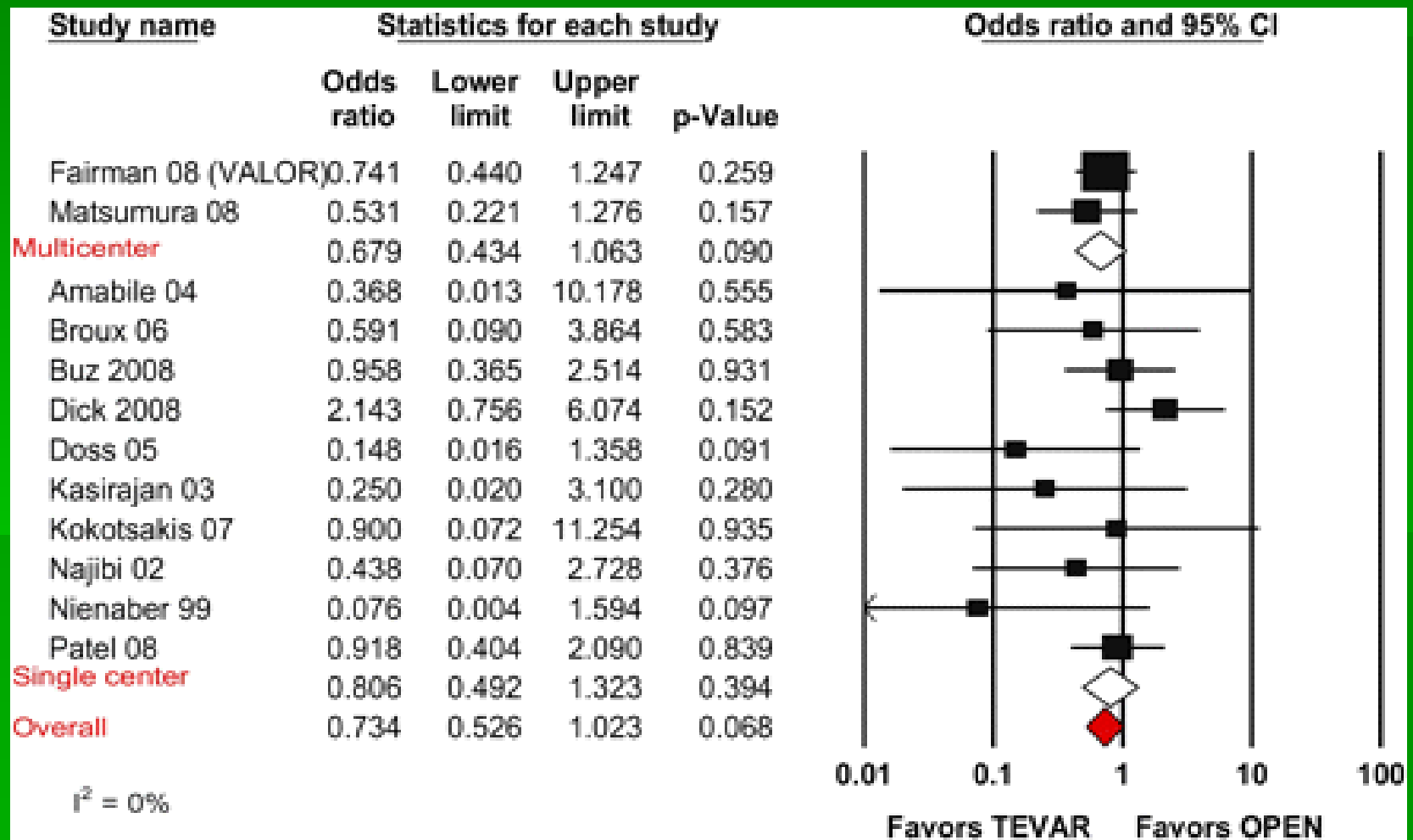




# EVAR BEFORE AND AFTER RESULT



# 1 YEAR SURVIVAL

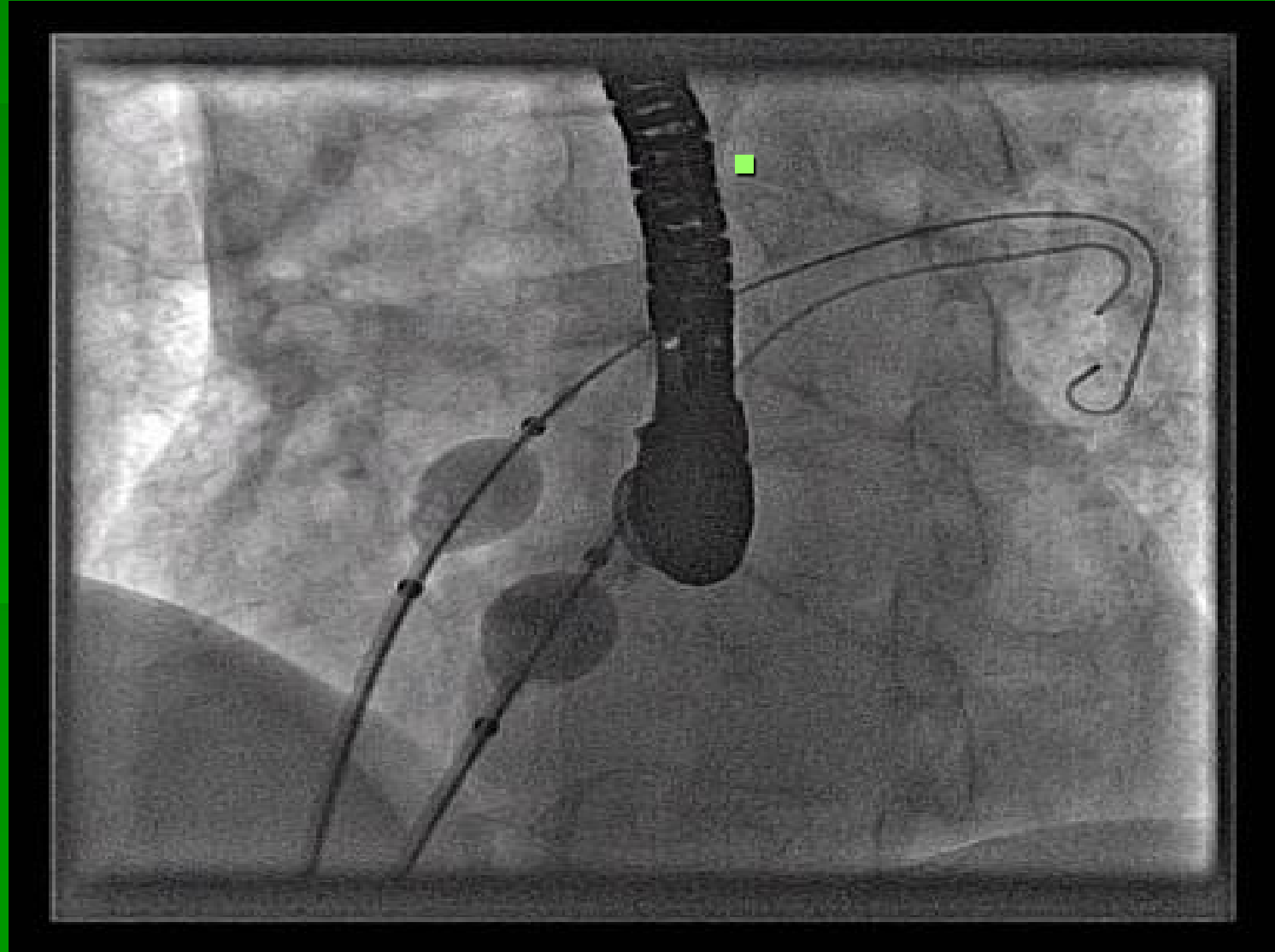




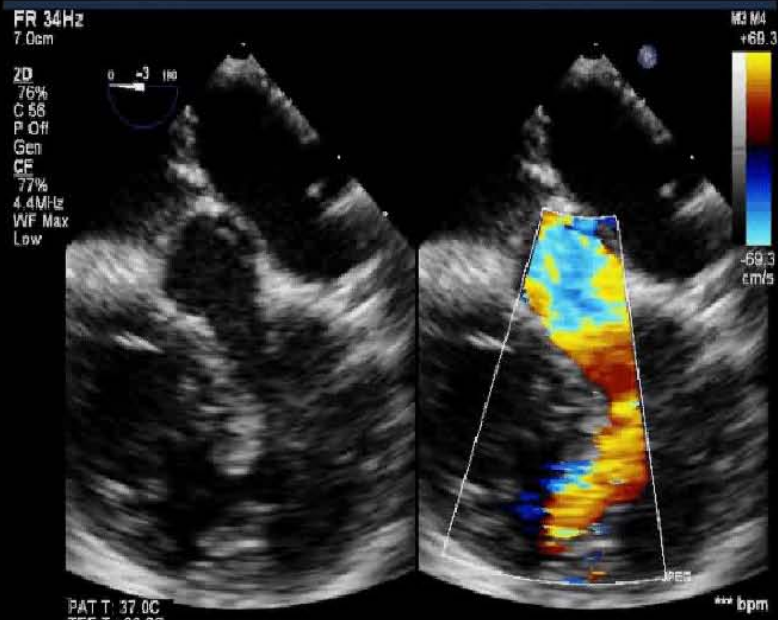
**THE END**

THANK YOU

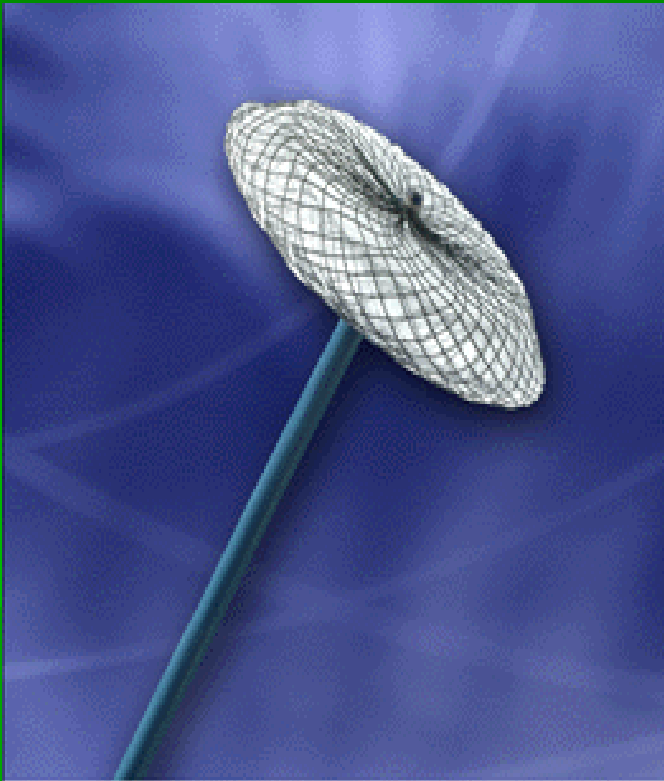
# MULTIPLE ASD CLOSURE



# TEE Guidance for Apical VSD

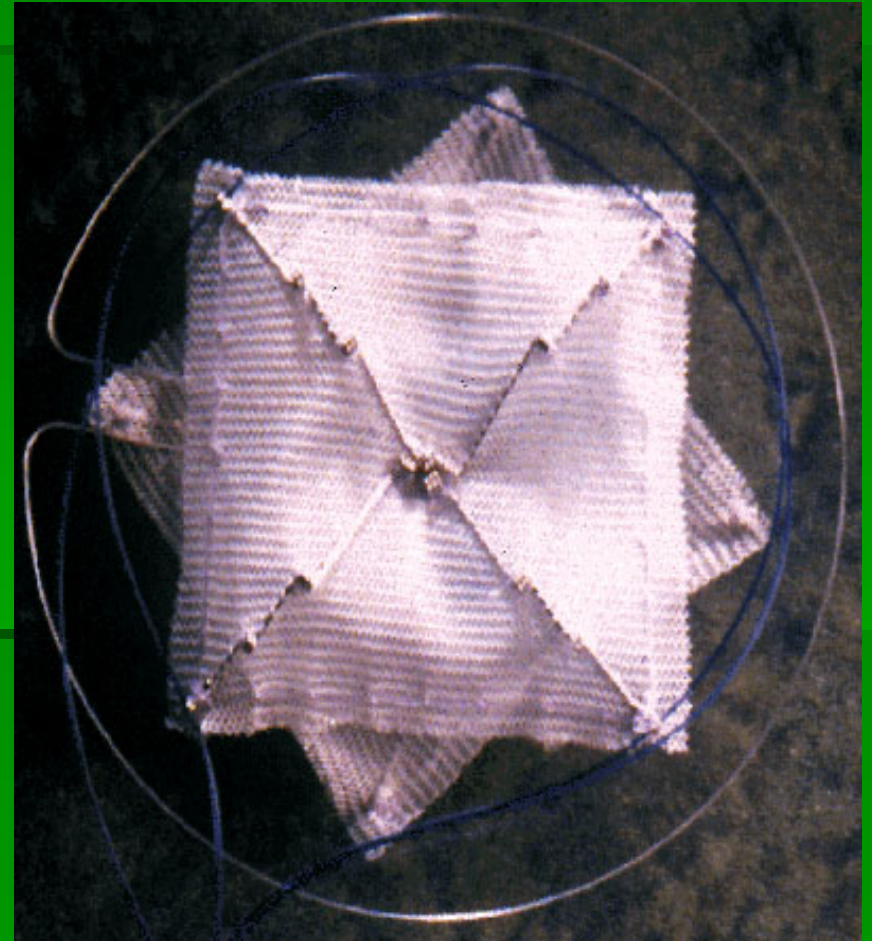


# ASD DEVICES

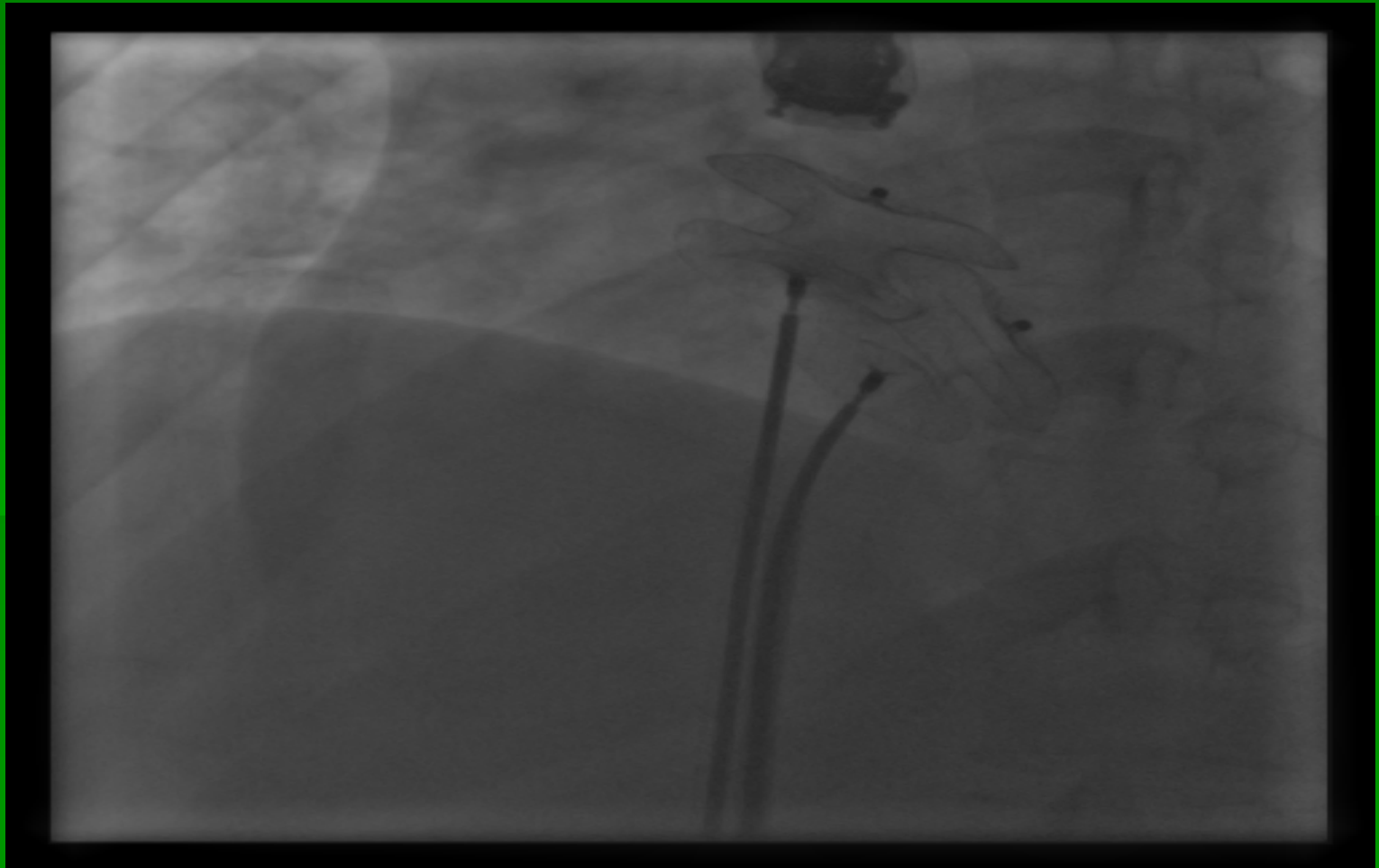


AMPLATZER® Septal Occluder © AGA Medical Corporation

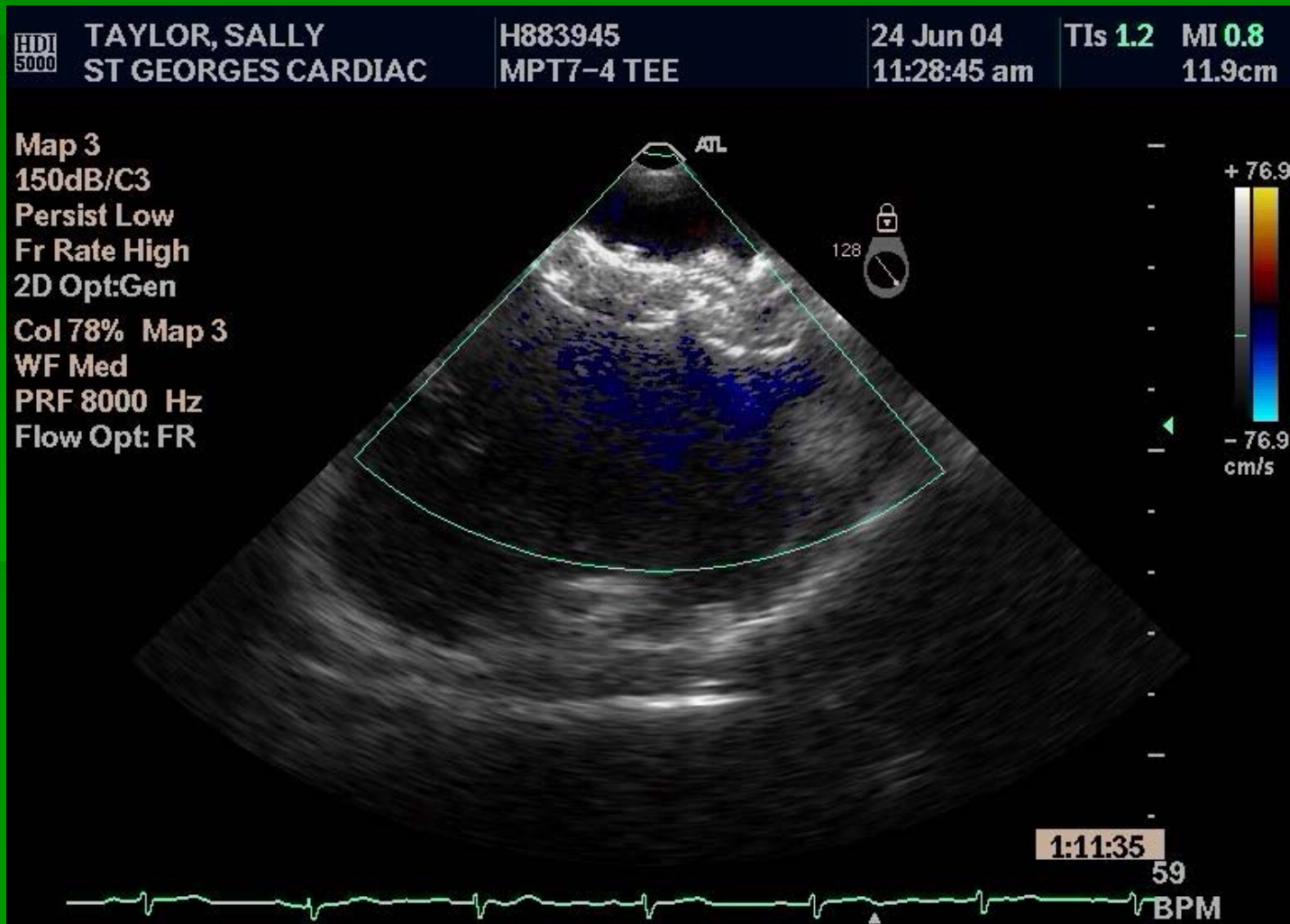
Amplatzer® Septal Occluder  
Licensed work is the sole property of AGA Medical



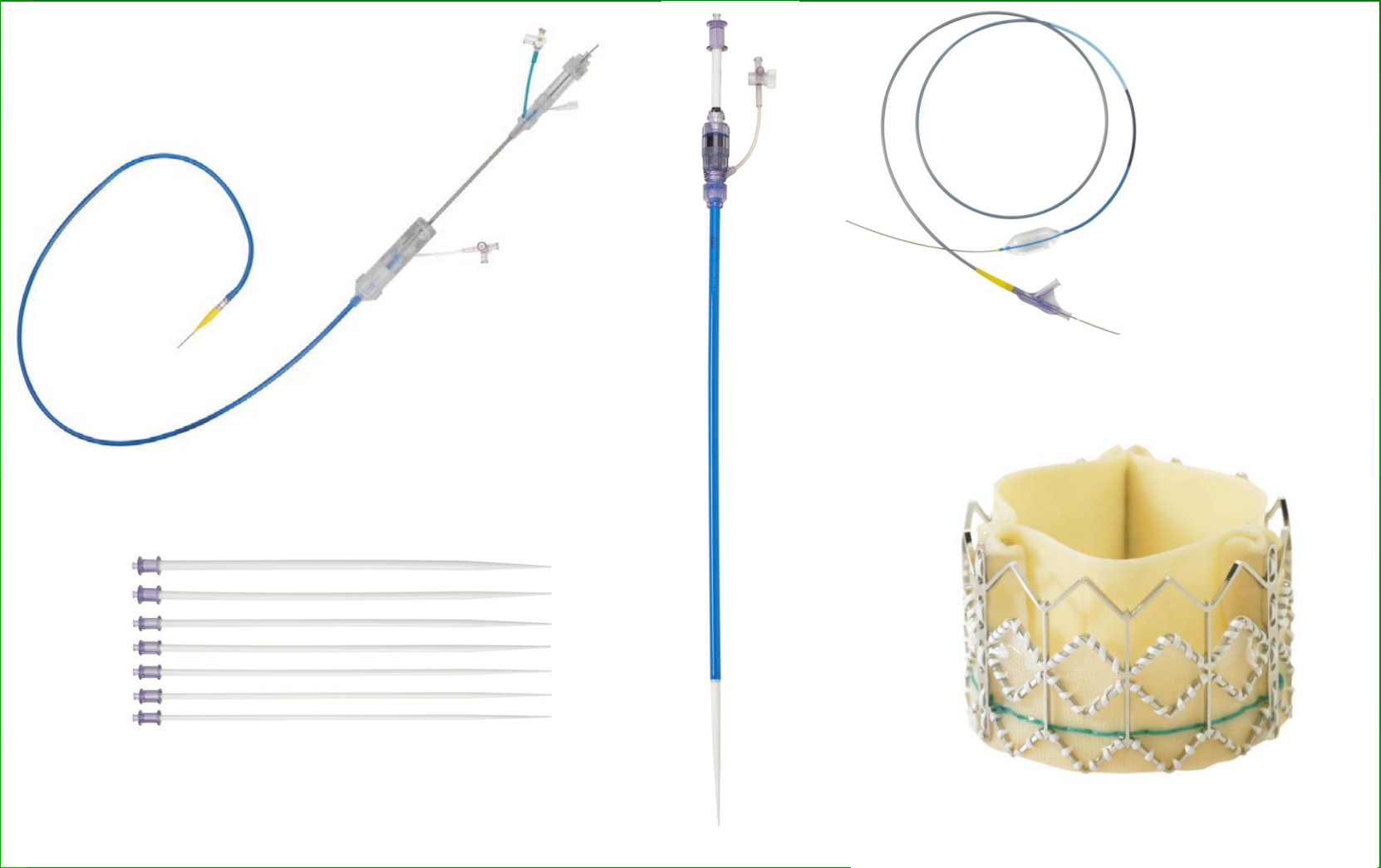
# MULTIPLE ASD CLOSURE



# MULTIPLE ASD CLOSURE



# Edwards Lifesciences RetroFlex<sup>®</sup> II Transfemoral Delivery Kit



# EVEREST II Randomized Clinical Trial

## Key Inclusion/Exclusion Criteria

### Inclusion

- Candidate for MV Surgery
- Moderate to severe (3+) or severe (4+) MR
  - Symptomatic
    - $>25\%$  EF & LVESD  $\leq 55\text{mm}$
  - Asymptomatic with one or more of the following
    - LVEF 25-60%
    - LVESD  $\geq 40\text{mm}$
    - New onset atrial fibrillation
    - Pulmonary hypertension

ACC/AHA Guidelines  
JACC 52:e1-e142, 2008

### Exclusion

- AMI within 12 weeks
- Need for other cardiac surgery
- Renal insufficiency
  - Creatinine  $>2.5\text{mg/dl}$
- Endocarditis
- Rheumatic heart disease
- MV anatomical exclusions
  - Mitral valve area  $<4.0\text{cm}^2$
  - Leaflet flail width ( $\geq 15\text{mm}$ ) and gap ( $\geq 10\text{mm}$ )
  - Leaflet tethering/coaptation depth ( $>11\text{mm}$ ) and length ( $<2\text{mm}$ )



# EVEREST II Randomized Clinical Trial

## Primary Endpoints

### Safety

- Major Adverse Event Rate at 30 days
- Per protocol cohort
- Superiority hypothesis

### Effectiveness

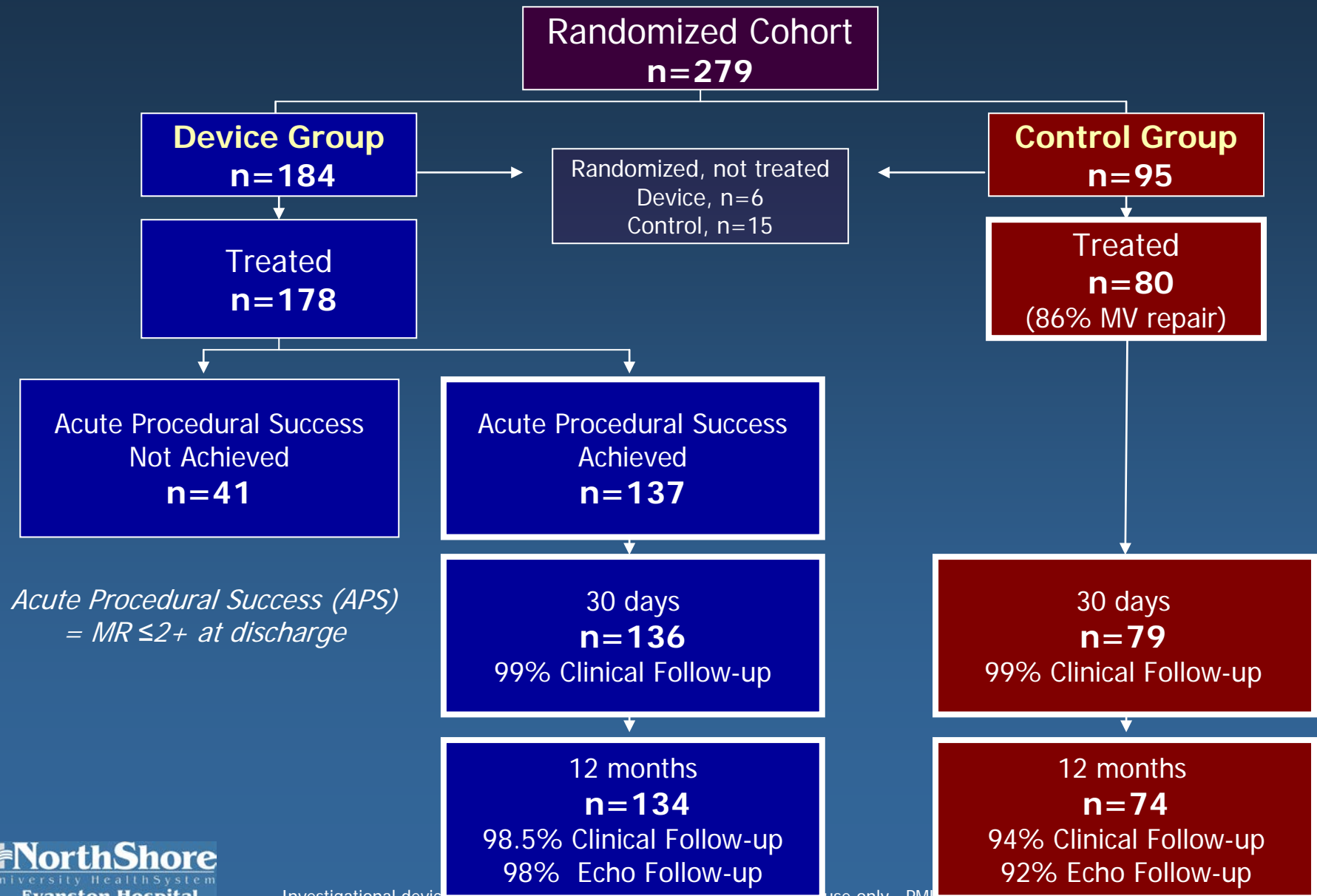
- Clinical Success Rate
  - Freedom from the combined outcome of
    - Death
    - MV surgery or re-operation for MV dysfunction
    - MR >2+ at 12 months
- Per protocol cohort
- Non-inferiority hypothesis

#### Pre-Specified MAEs

Death  
Major Stroke  
Re-operation of Mitral Valve  
Urgent / Emergent CV Surgery  
Myocardial Infarction  
Renal Failure  
Deep Wound Infection  
Ventilation >48 hrs  
New Onset Permanent Atrial Fib  
Septicemia  
GI Complication Requiring Surgery  
All Transfusions  $\geq 2$  units

# EVEREST II RCT: Patient Flow

## Per Protocol Cohort: Analysis of Device Performance



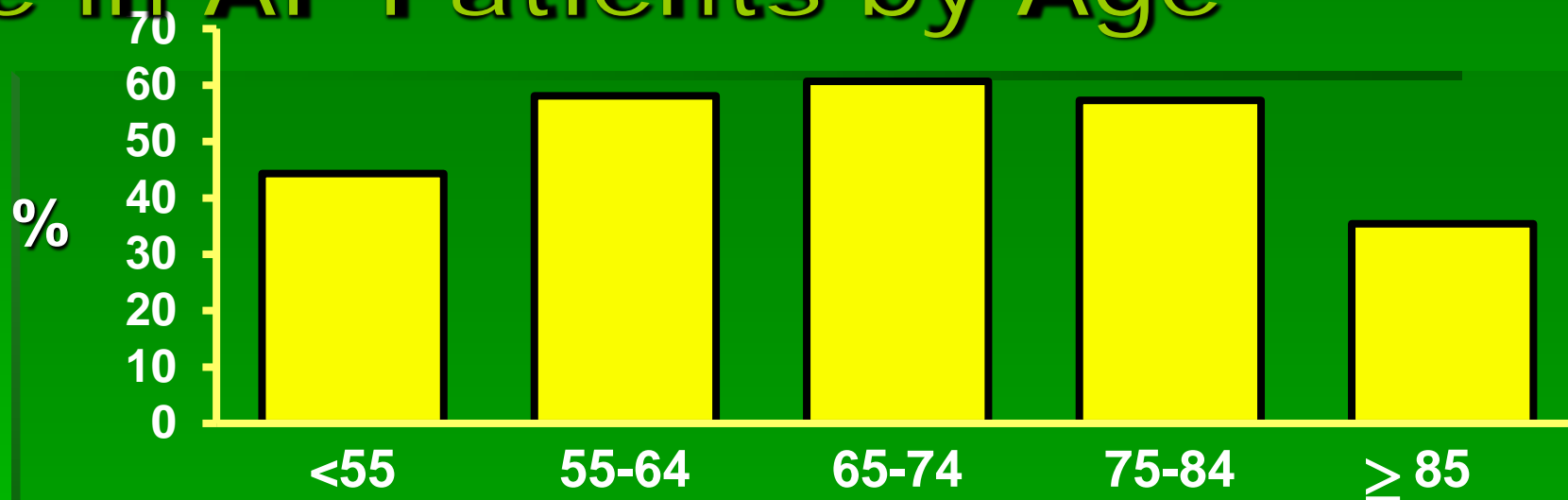
# EVEREST II RCT: Primary Safety Endpoint Per Protocol Cohort

30 Day MAE, non-hierarchical	# Patients experiencing event	
	Device Group (n=136)	Control Group (n=79)
Death	0	2 (2.5%)
Major Stroke	0	2 (2.5%)
Re-operation of Mitral Valve	0	1 (1.3%)
Urgent / Emergent CV Surgery	0	4 (5.1%)
Myocardial Infarction	0	0
Renal Failure	0	0
Deep Wound Infection	0	0
Ventilation >48 hrs	0	4 (5.1%)
New Onset Permanent Atrial Fib	0	0
Septicemia	0	0
GI Complication Requiring Surgery	1 (0.7%)	0
All Transfusions $\geq$ 2 units*	12 (8.8%)	42 (53.2%)
<b>TOTAL % of Patients with MAE</b>	<b>9.6%</b>	<b>57.0%</b>
	<b>p&lt;0.0001*</b>	
	<b>(95% CI 34.4%, 60.4%)</b>	
*p<0.0001 if include Major Bleeding only		

# Non-Valvular Atrial Fibrillation

## Warfarin

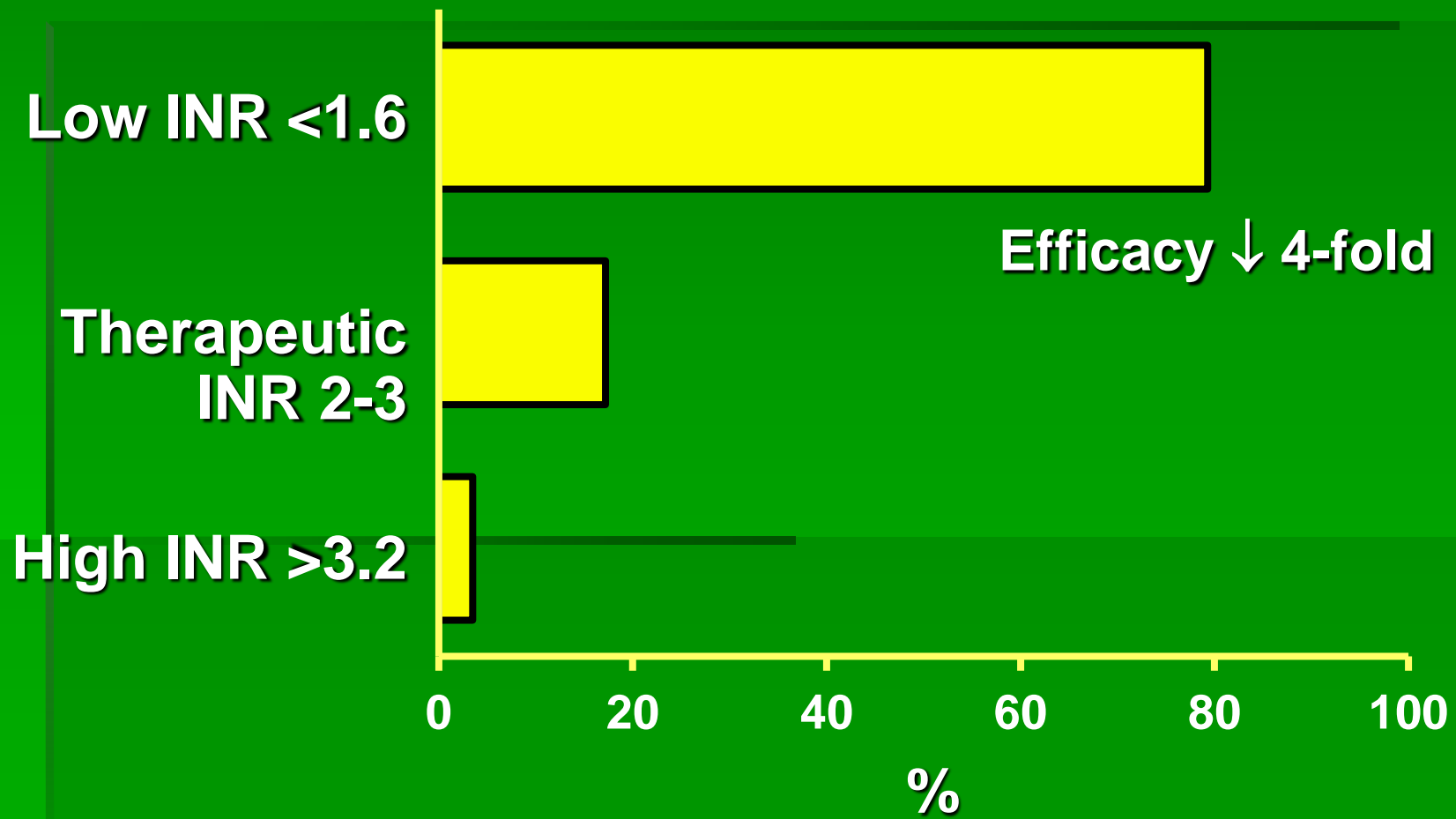
### Use in AF Patients by Age



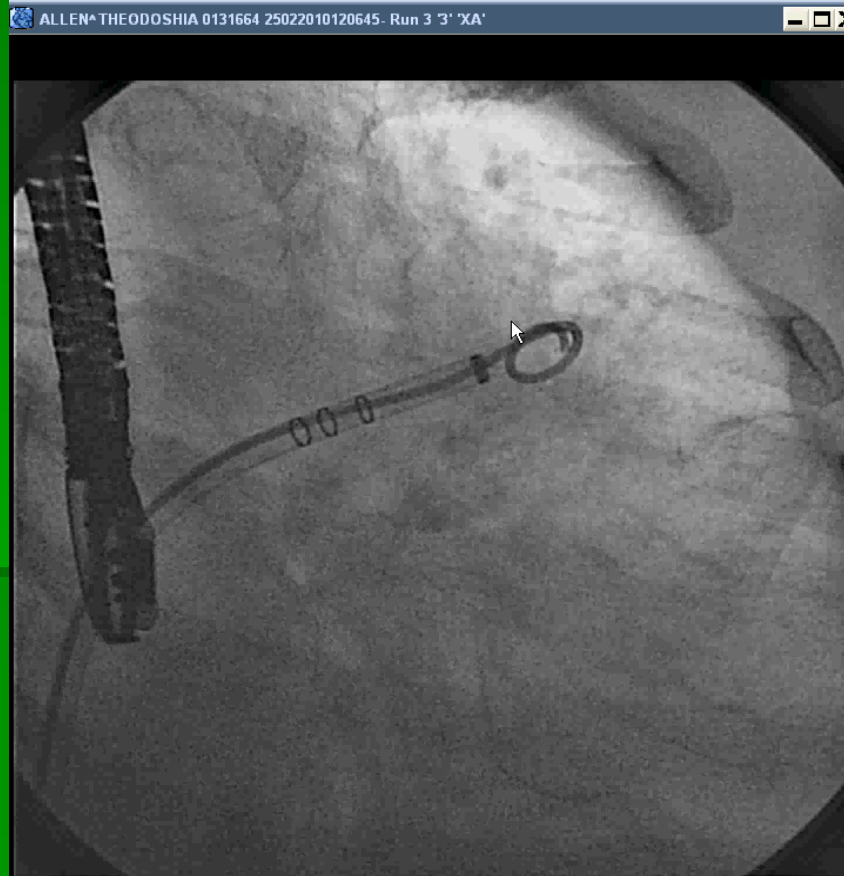
- Only 55% of AF patients with no contraindications have evidence of warfarin use in previous 3 months
- Other studies cite warfarin use 17-50%
- Elderly patients with increased absolute risk least likely to be taking warfarin; Contraindications 30-40%

# Non-Valvular Atrial Fibrillation

## Adequacy of Anticoagulation in Clinic



# LAA CLOSURE

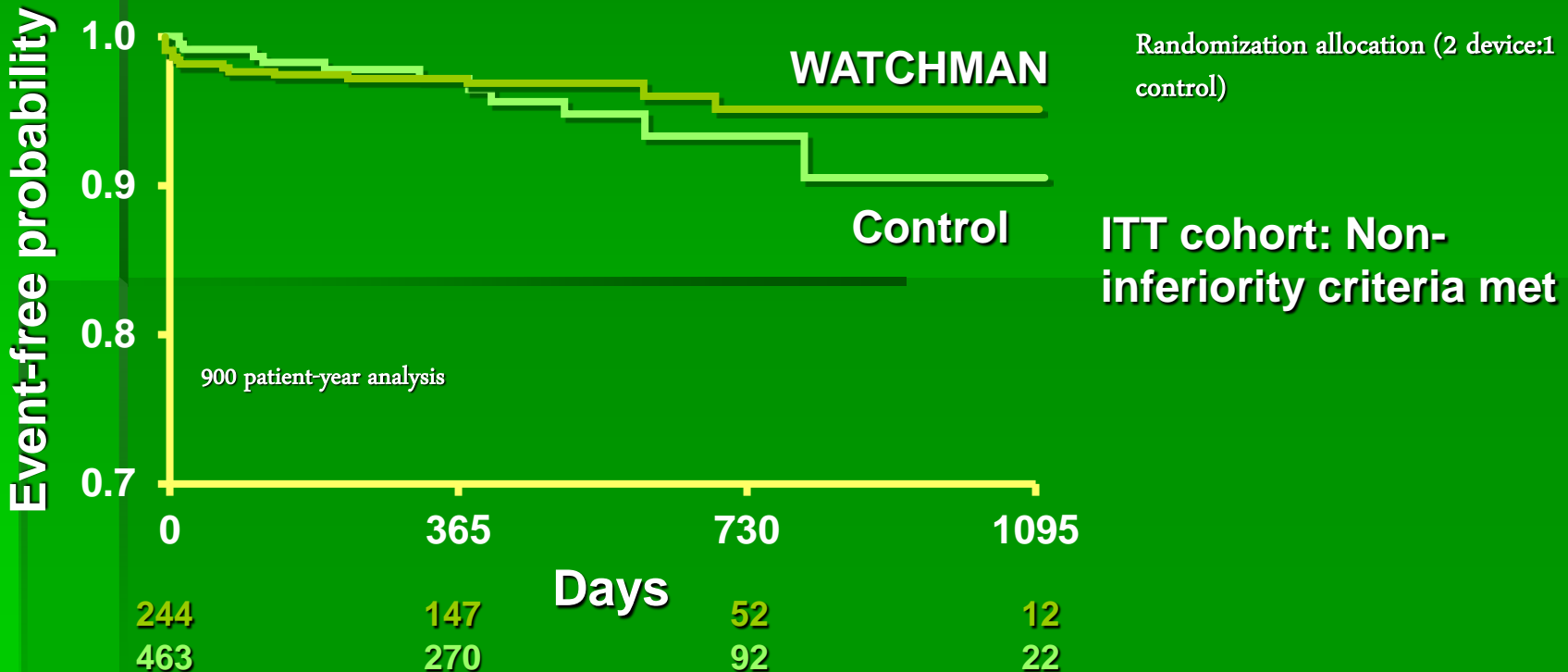


# PROTECT AF Trial Endpoints

- **Primary Efficacy Endpoint**
  - **All stroke: ischemic or hemorrhagic**
    - deficit with symptoms persisting more than 24 hours or
    - symptoms less than 24 hours confirmed by CT or MRI
  - **Cardiovascular and unexplained death: includes sudden death, MI, CVA, cardiac arrhythmia and heart failure**
  - **Systemic embolization**
- **Primary Safety Endpoint**
  - **Device embolization requiring retrieval**
  - **Pericardial effusion requiring intervention**
  - **Cranial bleeds and gastrointestinal bleeds**
  - **Any bleed that requires  $\geq 2$ uPRBC**
- **NB: Primary effectiveness endpoint contains safety events**

# Intent-to-Treat All Stroke

Cohort	Device			Control			Posterior probabilities		
	Events eve	Total pt-yr	Rate (95% CI)	Events (no.)	Total pt-yr	Rate (95% CI)	RR (95% CI)	Non- inferiority	Superiority
600 pt-yr	14	409.3	3.4 (1.9, 5.5)	8	223.6	3.6 (1.5, 6.3)	0.96 (0.43, 2.57)	0.927	0.488
900 pt-yr	15	582.9	2.6 (1.5, 4.1)	11	318.1	3.5 (1.7, 5.7)	0.74 (0.36, 1.76)	0.998	0.731





# Specific Safety Endpoint Events

- Pericardial effusions – largest fraction of safety events in device group
- Stroke events – most serious fraction of safety events in control group
- Bleeding events were also frequent

# FDA SAFETY DATA

- Major Safety End Points:

- AF (%) CAP (%) p

- Procedure/device-related events at 7 d

7.7 3.7 .007

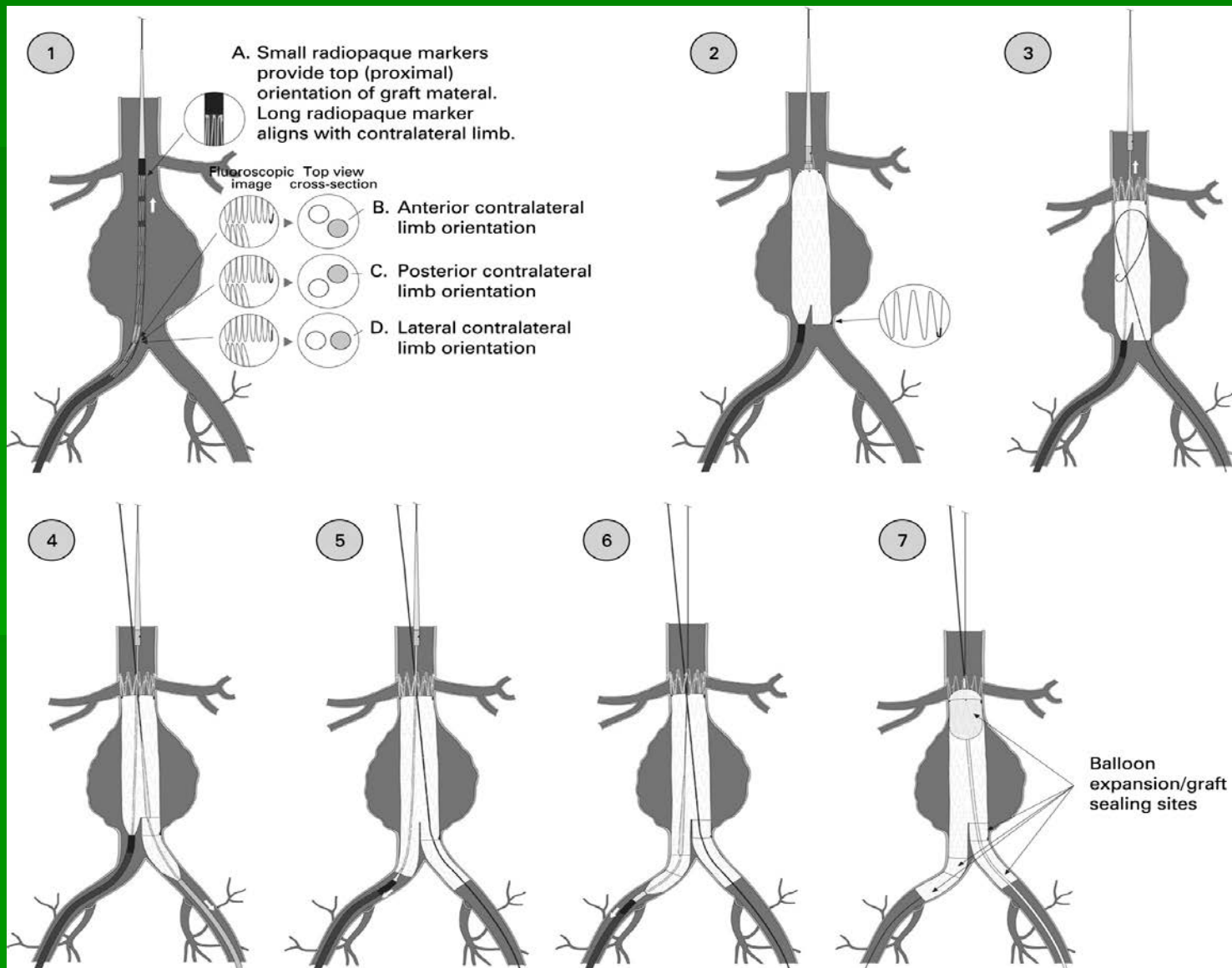
- Serious pericardial effusions at 7 d

5.0 2.2 .019

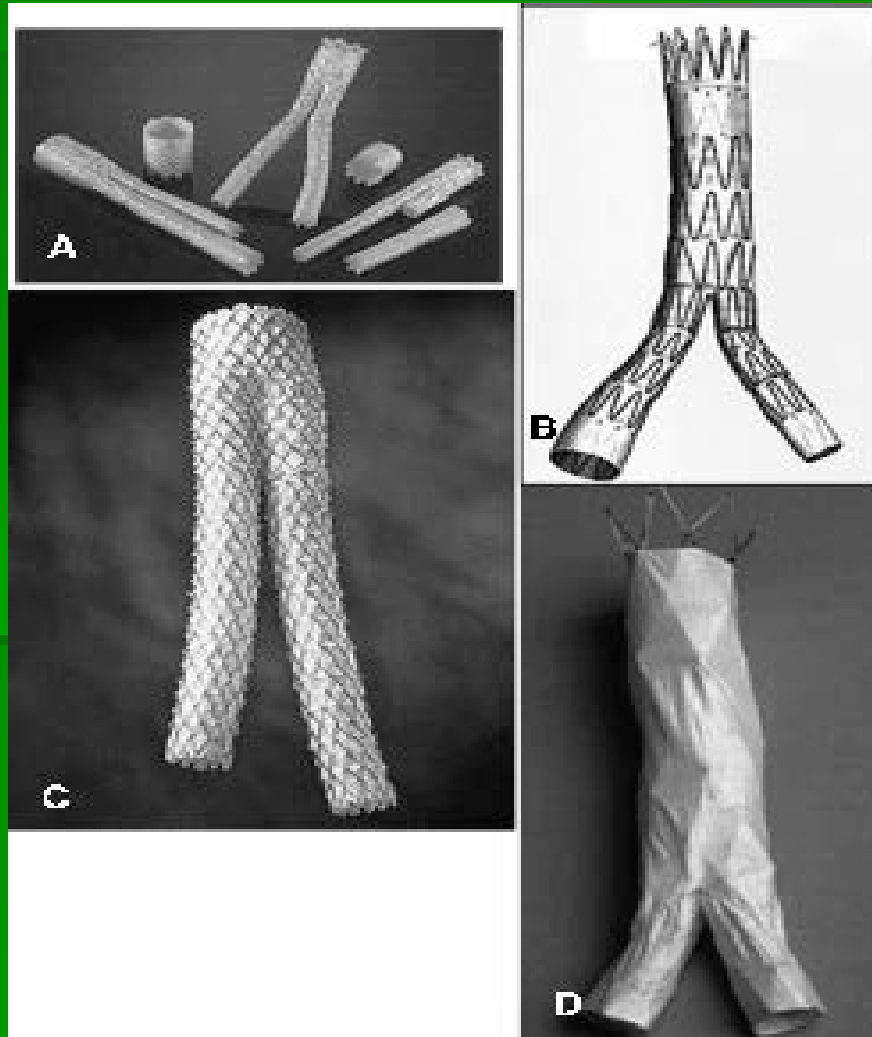
- Procedure-related stroke

- 0.9 0.0 .039

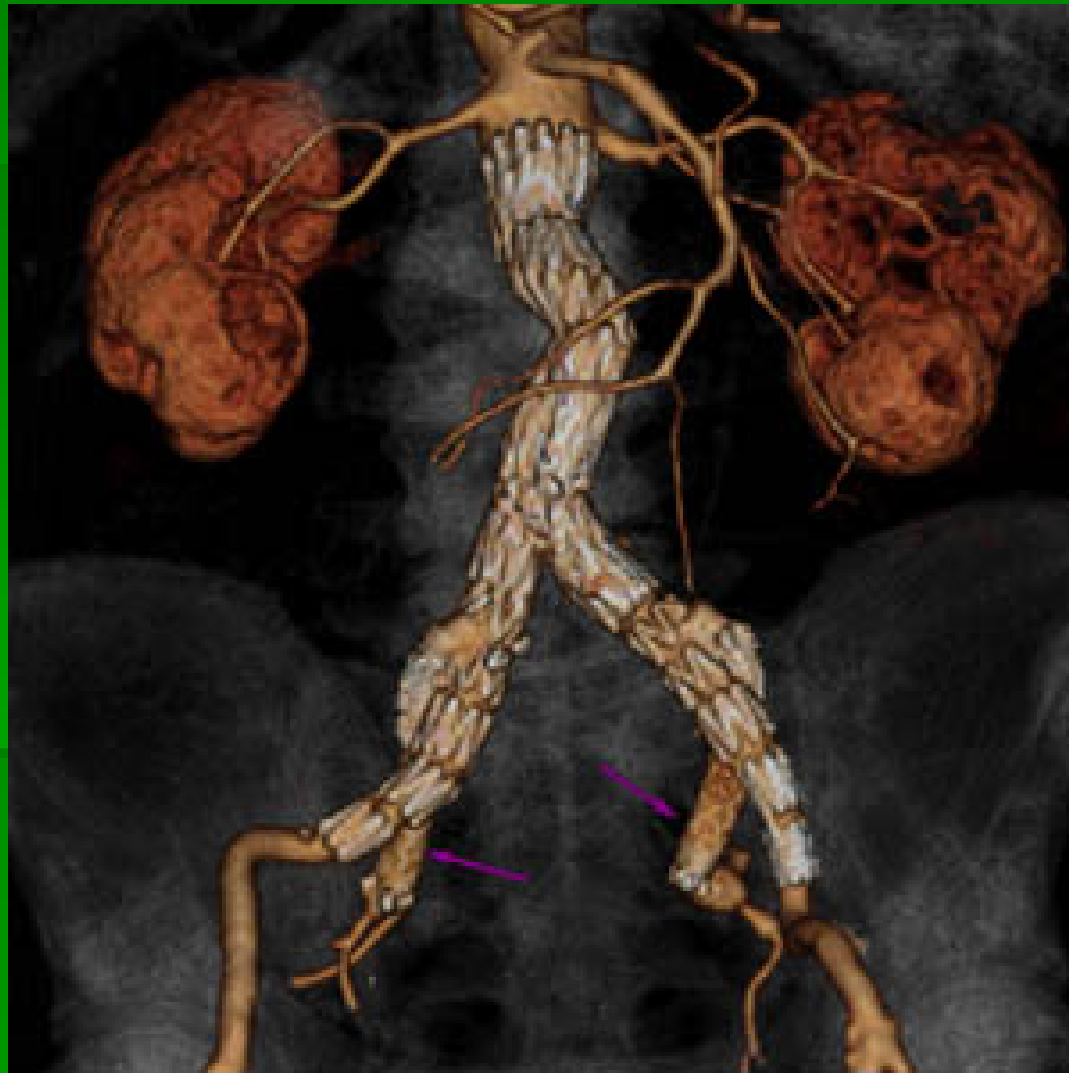
# HOW TO FIX AN ANEURYSM



# EVAR DEVICES



# EVAR RESULT



# 30 DAY SURVIVAL

